

Chapter 11

Data

“...But really, from our point of view, those of us who are in the trenches on the ground working with kids, is that kids are not numbers.”

~ Juvenile Court prosecutor

Data Content and Observations

DHHS Data

- **Comparison of selected CSFR measures by DHHS service areas:** Absence of Repeat Maltreatment; Reunification within 12 Months of First Entry, Re-Entries after Reunification, Permanency for Youth in Care 2 yrs or Longer- Service Areas:
 - These bar graphs demonstrate the state average by a blue bar in six month intervals beginning September 2006 to March 2011. The national average is shown by a dotted line; each of the Department of Health and Human Services Service areas is measured by a different colored line: Central= red; Eastern=green; Northern=purple; Southeast=light blue; Western=orange
 - Absence of Maltreatment Recurrence: Of all children who were victims of abuse or neglect during the first 6 months of the reporting year, the percent that were *not* victims of another maltreatment within a 6 months period.
 - *The graph demonstrates a wide variance of spikes up and down over the past five years in each of the service areas and as a state average. Accordingly it is difficult to derive any meaningful pattern since choosing any specific date at random could indicate a rise or fall depending on the dates being compared..*
 - *Two of the service areas- Central and Western- have shown a consistently higher number of absence of maltreatment compared to other service areas. Both of these areas are not currently privatized and case management has always been the responsibility of DHHS workers.*
 - Reunification in Less Than 12 Months: For the reporting year, of all children discharged from foster care to reunification who had been in foster care for 8 days or longer, the percent that met either of the following criteria: (1) the child was reunified in less than 12 months from the date of the latest removal from the home, or (2) the child was placed in a trial home visit within 11 months of the date of the latest removal and the child's last placement prior to discharge to reunification was the trial home visit.
 - *Two service areas- Northern and Central Service Areas- have consistently higher rates of reunification in less than 12 months than the privatized service areas. The rates were higher than the state average for three years.*
 - Permanence of Reunification: Of all children discharged from foster care to reunification in the year prior to the reporting year, the percent that re-entered foster care in less than 12 months from discharge from a prior episode. A lower score is preferable.
 - *Since September 2009 the Eastern and Northern Service Areas have been the same as or decreased to at or below the state average.*
 - *The Southeast Service Area has increased consistently from September 2009 to March 2011.*
 - *The Western Service Area has been above the state average, but spiked in March 2011.*

- Exits to Permanency Prior to the Child's 18th Birthday for Children in Care for 24 Months or More: Of all children in foster care for 24 months or longer on the first day of the reporting year shown, the percent that were discharged to a permanent home prior to their 18th birthday and by the end of the reporting year.... A permanent home is defined as placement through adoption, guardianship or reunification.
 - *The Central Service Area, managed by DHHS, has the best rate of permanency improvement over the last two years- improving consistently from approximately 35% exit-to-permanency in September 2009 to 49% exit-to-permanency in March 2011.*
 - *The Western Service Area, which once had the highest rate at 52%, is still above the state average, but has dropped over the last two years to about 38%.*
 - *The Southeast Service Area has also dropped from 48% to 40%.*
 - *The Eastern Service Area has been consistently below the state average and dropped from 39% to 30% in March 2011.*
- **Percentage of Children Served and Allocation of Budget By Service Area**
 - The table compares the % of children served by service area and the corresponding budget allocation.
 - The “initial” budget allocations in 2009 were based on historical expenditures not specific to the number of state wards served, and the initial allocation for FY2011 was on historical expenditures.
 - The “current” budget allocation was based on “children served.”
 - From the initial budget allocation to the current allocation, *reductions* were- Western Service Area: -\$169,494; Northern Service Area: -\$95,173; Central: -\$2,409,838; and DHHS Eastern -\$53,118.
 - From the initial budget allocation to the current allocation, *increases* were- KVC Southeast: +\$4,158,914; KVC Eastern: +\$2,600,707; NFC Eastern: +\$499,762.
 - *The way children are reported and counted changed between initial and current budget allocations.*
 - The number of children served in the “initial” budget allocation only includes **state wards** (children under 19 years of age involved in a court case with a legal status of HHS-OJS ward, police holds and voluntary placement agreements).
 - **“Children served”** in the “current” budget allocation includes all children in addition to state wards who are 1) siblings who are involved in a court case but are not state wards or who are under court supervision; 2) non-court involved children; 3) those under court supervision; 4) children receiving a single service or evaluation only.

It is difficult to get apples-to-apples comparisons among numbers of children prior to lead agency contracts in October 2009, case management transitions October 2010-January 2011, and current data. Accordingly, it can be difficult to measure specific progress or track finances. The following demonstrates specific aspects of the data with the goal of attaining similar comparisons.

- **HHS/OJS October (as of 11/2/2009) by Service Area-** Compares HHS Ward and OJS Ward by service area.
- **CFS September 2010-2011 Children by population breakdown**
 - Table compares monthly census from September 2010 to June 13, 2011 with % change for time period with “children served” separated by specific population.
 - *November 2010 Northern, Central, Western, and Eastern Areas have no lead agencies and have case management provided by DHHS staff.*
 - *To get “apples-to-apples” between 2009, compare the “wards” column.*
 - *The Central Service Area showed the biggest change in “total” (-18%) and “ward” (-11%) reduction.*
- **Subset of # Wards** demonstrates the number of OJS wards and HHS wards within the total # of state wards by service areas on June 12, 2011.
 - *This is a close “apples-to-apples” comparison among total state wards with separation of OJS wards and HHS wards between the 2009 table (described above) and June 13, 2011.*
 - *The difference between the total state ward count between 2009 and June 2011 (18 months into privatization) by service areas- WSA:19; CSA:-107; NSA:+72; SESA: -88; ESA:-11.*
 - *To get an “apples-to-apples” comparison regarding the allocation of budget by service area for the numbers of total state wards:*
 - *Utilize the number-of-wards table from 2009 and the number of state wards June 13, 2011 (above).*
 - *Then use the “Percentage of Children Served and Allocation of Budget by Service Area” table (above).*
 - *This will provide a comparison of state wards (the number utilized in 2009 “initial” allocation to determine % of children served) to 2011 state wards under “current” allocation (rather than the new “children served” counting methodology that adds state wards with non-court wards, siblings, those under court supervision, and those with single service or evaluation-only to obtain the total number).*
 - *This provides the 2009 budget expenditure and the 2011 budget allocation compared to the numbers of 2009 state wards and 2011 state wards.*
 - *WSA: -\$169,494; +19 state wards only;*
 - *CSA: -\$2,409,838; -107 state wards only;*
 - *NSA: -\$95,173; +72 state wards only;*
 - *SESA: +\$4,158,914; -88 state wards only;*
 - *ESA: +\$3,047,351; -11 state wards only;*
- **CFS Court-involved and Non Court-involved by Service Area as of June 13, 2011**
 - *A different way to compare children and expenditures is to include the increases in the number of children served, rather than state wards only, as an “apple”; to focus on the population defined by “children served” (as defined above).*
 - *The current table does not look at historical data, but point-in-time data comparing the populations that encompass children served by services area on June 13, 2011.*
 - *This is also the focus of the “Percentage of Children Served and Allocation of*

Budget by Service Area” table's last column. Determining the total of children served as defined in the state and dividing by each service area total children served provides the percentage. That percentage is then used to determine the budget allocation of each area.

- **Statewide Children Placed with Siblings June 27, 2011**
 - This table demonstrates the Number of Siblings in Out of Home Care placed with Siblings as of June 27, 2011 by Service Area
 - *Placing siblings together whenever safely and logistically possible is the preferable placement in the best interest of children, and it is the policy of the State of Nebraska.*
 - *Percent with All Siblings Together: WSA-70.95%, CSA-69.68, NSA-68.62% (all DHHS areas with DHHS case managers); ESA- 57.41%, SESAs- 54.82% (lead agency case management) .*

KVC Youth Served Placement

- **KVC Nebraska Point in Time Report Comparison**
 - Comparing November 2009, November 2010, June 2011

NFC Youth Served Placement

- **NFC Point in Time Report Comparison**
 - Comparing March 2010, November 2010, June 2011

DHHS and Behavioral Health

Update of Behavioral Health Task Force Report 2007 information

- Division of BH Children's Services Mental Health and Substance Abuse by Person Served 2010
- Hastings Regional Center Number of Children Served 2010
- State Wards in Care 9-3-2011
- DHHS Funding Sources for Children's BHS 2010
- Behavioral Health Expenditures FY10 Children Services by Category
- Behavioral Health Divisions Children's Expenditures by Region/Helpline
- FY2010 NE Medicaid/CHIP Expenditures for MH/SA Services
- FY 2010 NE Medicaid/CHIP Expenditures for MH/SA Services by State Ward
- FY2007-10 NE Medicaid/CHIP Expenditures for MH/SA Services
 - *The reduction for children 20 and younger in Medicaid MH/SA Services in FY2010 from FY 2009 for residential care went from \$45.9 million to \$39.7 million (-\$6.2 million); practitioner/clinic went from \$30.8 to \$ 29.3 million (-\$1.5 million) and Community Treatment Aides went from \$0.8 to \$0.4 million (-\$0.4 million).*
 - *There was an increase in Outpatient from \$1.3 to \$1.9 (+0.6 million).*
 - *There is a laudable goal to reduce the number of children in residential treatment that may explain the reduction in expenditures for residential treatment; but it is extremely disconcerting that there are cuts to services that support children in the community and such an extremely small increase in expenditures for Outpatient services*
- FY 2010 NE Child Welfare Expenditure for MH/SA Service
- NE Medicaid BH Expenditures by Service Date Out of State PRTF

Data Requested from KVC

- Case worker education
 - *80% of caseworkers have a bachelors degree; 19% have a masters degree; .05% have a bachelors or masters degree in social work.*
- Caseload info and turnover
 - *KVC caseworker turnover for July was 8.81%; August 6.25%; September 9.49%*
- Previous Employers
 - *Many of KVC caseworkers have experience in child welfare or mental health*
- Face-to-face caseworker contacts with child ESA and SESA June-Sept. 2011
 - *KVC SESA case worker face-to-face contact with state ward ranged from 48%-54%.*
 - *Non-court involved caseworker face-to-face contact with children ranged from 26%-43% in KVC ESA; and 16%-20% in KVC SESA;*
 - *Non-court is defined by DHHS as “children who have no court involvement but CFS **has determined that a safety threat exists** and the family agrees to work with the Contractor. Most of the same services available to court involved families are available to non-court involved families. Services help to insure child safety and enhance the parent's ability to provide safety for their children.”*
- Face-to-face caseworker contacts with parents ESA and SESA June-Sept 2011;
 - *KVC SESA case manager contact with parent ranged from 32%-41% for parents of wards of the state;*
 - *KVC ESA for non-court involved case manager/parent contact ranged from 13%-18%;*
 - *KVC SESA for non-court involved parent /case manager contact ranged from 15-19%;*
 - *Non-court (defined above)- Services help to insure child safety and **enhance the parent's ability to provide safety for their children.***
- Case documentation monthly consecutive Team meetings June-Sept 2011;
- Case documentation monthly consecutive Parent Contacts June-Sept 2011;
- Case documentation monthly consecutive Youth Contact June-Sept 2011;
- Case documentation monthly consecutive Provider Contacts June-Sept 2011;
- Service Coordinator Required Contacts June-Sept 2011;
 - *KVC SESA “Service Coordinator Required Contacts” showed “Provider Documentation” was 37%-43%.*
- Court Report Timeliness August ESA; Sept SESA
 - *In September the KVC SESA court reports were not on time 30% of the time;*

Data Requested from NFC

- Caseworker training, education, experience
 - *80% of NFC caseworkers have bachelors degrees; 19% have masters degrees; 15% have a bachelors or masters in social work*
- Caseworker turnover
 - *NFC annualized staff turnover for July- 40%, August- 19%, and September- 27%;*
- Caseloads
- Face-to-face caseworker contact with children- wards, non-wards

- *NFC non-court involved case worker monthly contact with children was 16%-21%;*
- *Non-court is defined by DHHS- as “children who have no court involvement but CFS has determined that a safety threat exists and the family agrees to work with the Contractor. Most of the same services available to court involved families are available to non-court involved families. Services help to insure child safety and enhance the parent's ability to provide safety for their children.”*
- *Face-to-face caseworker contact with parents- wards, non-wards;*
 - *NFC non-court involved case worker face-to-face contact with parent ranged from 16%-21%;*
 - *Non-court (defined above)- Services help to insure child safety and **enhance the parent's ability to provide safety for their children.***
- *Current Case Plans*
- *Timeliness of case plans*
- *Casey Family Program-Selected State and National Child Welfare Statistics*
 - *Rate of Children In Care in Population 2009*
 - *Rate of Entry FY 09*
 - *Exits to Permanency by State 2009*
 - *Nationally, entries are declining*
- *The Health and Human Services Committee comments on issues of concern connected with the above data.*
 - *First, the lead agencies' case workers' **lack of monthly face-to-face contacts** with children and parents of non-court involved cases in as many as 87% of the cases is deeply concerning.*
 - *Non-court involved children cases are those where CFS has determined a safety threat exists; yet in an extremely high number of cases, there is no monthly face-to-face caseworker contact with the child or parent.*
 - *This is especially concerning since there is NO other oversight- not through the court, not through the FCRB, nor by DHHS- for these children.*
 - *In addition to the above data, information was provided in a briefing to the members of the HHS Committee by two Directors of Child Advocacy Centers . In the last 90 days they have noted an increase in children who are non-court involved but are coming back through the system because of repeat incidents of neglect or abuse reported to the hot line.*
 - *During LR 37 hearings, prosecutors also expressed concerns for non-court involved children. There are a number of these children coming into the system with repeat issues; but due to the lack of documentation of the initial incidents, evidence of the repeat neglect and abuse is not available for adjudication.*
 - *Since additional financing has been provided to lead agencies to compensate for the number of “children served” to include non-court involved children, it is a concern that these children and families are not receiving the services and oversight of a monthly face-to-face meeting with case managers. These are children for which lead agencies are being compensated, but data indicates they are not being provided this essential service.*
 - *The data indicating the high turnover rates of caseworkers in lead agencies.*
 - *This is a deep concern that has also been noted in the FCRB Report and the*

Surveys. There are many systemic problems that occur from caseworker turnover.

- *First is that 20% of children and families report four or more caseworkers in the last 12 months.*
- *Additionally, judges note a lack of documentation and poor quality of information provided to the courts because of untrained, new and/or substitute case managers. This results in courts continuing hearings because action has not been taken, thereby slowing decisions toward permanency. The judges are quick to point out that caseworkers themselves are dedicated, caring individuals; but the system undermines their effectiveness.*
- *Caseworker turnover and the resulting consequences impacts the trust of the system. Inexperience, lack of timely action, lack of documentation, and multiple personnel makes it difficult to trust the information provided by a case manager. Again, this is not meant to reflect lack of trust of case workers themselves, but is indicative of system issues. However, caseworker turnover can directly impact the placement decisions of children as judges and persecutors are left to compensate for the lack of appropriate information and evidence.*
- *Throughout the LR 37 process it has become very evident that the lack of coordination, silos of data bases, and problems with data reporting and analysis are chronic, pervasive, systemic, and serious problems. These are not new issues. The 2008 Behavioral Health Task Force Report DHHS Response stated:*
 - *“Nebraska currently does not have the capacity to collect and analyze routinely and effectively much of the data required to inform policy decisions, system development and evaluation of its public behavioral health system. There is no standard set of information that is uniformly collected about all children and adolescents served by the system. Of the information that is collected, some exists only as paper records and are never entered into an automated database. The items of information that have been automated reside on a number of computer systems, developed for different purposes, with different capabilities, file structures and operating systems. A listing of the current data system collection is located in Appendix 7 of this report.*
 - *The Department will provide leadership in developing a uniform system to collect and evaluate data across systems regarding youth served, the quality of services provided and the outcomes produced by those services. The system will consist of uniform, cross-system data collection, storage, analysis and reporting to evaluate the children’s behavioral health system. The system will have the capacity to evaluate both process data and outcome data thereby creating the infrastructure for continuous quality improvement and increasing accountability. Initially, the system will rely heavily on existing data either maintained on automated information systems or manually collected. The Divisions are working together to put out for bid a renewed and enhanced request for an Administrative Services Only provider in the Medicaid and Long-Term care, Behavioral Health and Children and Family Services divisions to improve data acquisition and management capacity.”*
- *It is important DHHS and the Legislature move forward immediately to address the lack of progress regarding these data issues.*

- **However, a good data system will not in itself alone further child welfare reform.** Good, timely, accurate data is absolutely essential in decision making and policy development. But data (and even information attained through the data) is not the end in itself when making decisions. It must be analyzed and synthesized and then utilized with an understanding of the systemic interaction between multiple variables.
 - In “Knowledge Management” literature, the “Knowledge Hierarchy” (also known as the Knowledge Pyramid) describes the initial progression of analysis and synthesis. Russell Ackoff,¹ a systems theorist and professor of organizational change, describes the hierarchy as:
 - **Data:** Data is raw. It simply exists and has no significance beyond its existence (in and of itself).
 - **Information:** Information is data that has been given meaning by way of relational connection, data that is processed to be useful; provides answers to "who," "what," "where," and "when" questions.
 - **Knowledge:** Knowledge is the appropriate collection of information, the useful application of data and information; answers "how" questions. However, it does not provide for, in and of itself, an integration such as would infer further knowledge.
 - **Understanding:** The appreciation of "why," understanding is an interpolative and probabilistic process. It is cognitive and analytical. It synthesizes new knowledge from previously held knowledge. The difference between understanding and knowledge is the difference between "learning" and "memorizing." People who have understanding can undertake useful actions because they can synthesize new knowledge, or in some cases, at least new information, from what is previously known (and understood).
 - **Wisdom:** Wisdom is evaluated understanding. Wisdom is an extrapolative and non-deterministic, non-probabilistic process. It calls upon all the previous levels of consciousness, and specifically upon special types of human programming (moral, ethical codes, etc.).

In addition to the progression of the analysis and synthesis represented by the Knowledge Hierarchy, Ackoff explains applying the progression to systems thinking in regards to the organization(s). “Analysis of a system reveals how it works, but synthetic thinking is required to explain why it works the way it does. Systems thinking integrates the two. Analysis breaks a system down into its parts, tries to explain the behavior of these parts, and then attempts to aggregate this understanding into an understanding of the whole. It cannot succeed because when a system is taken apart it loses all its essential characteristics and so do its parts. A disassembled automobile cannot transport people and a motor taken out of it cannot move anything, even itself....You have to understand how the interactions of the parts, and the parts with the whole and its environment, create the properties of the whole. Cause-effect is about actions, not interactions. Most managers currently manage the actions of their organizations’ parts taken separately. This is based on the false assumption that improving the performance of the parts separately necessarily improves the performance of the whole, the corporation. That is a false premise. In fact, you can destroy a corporation by improving its individual parts. Try putting a Rolls Royce engine in a Hyundai².”

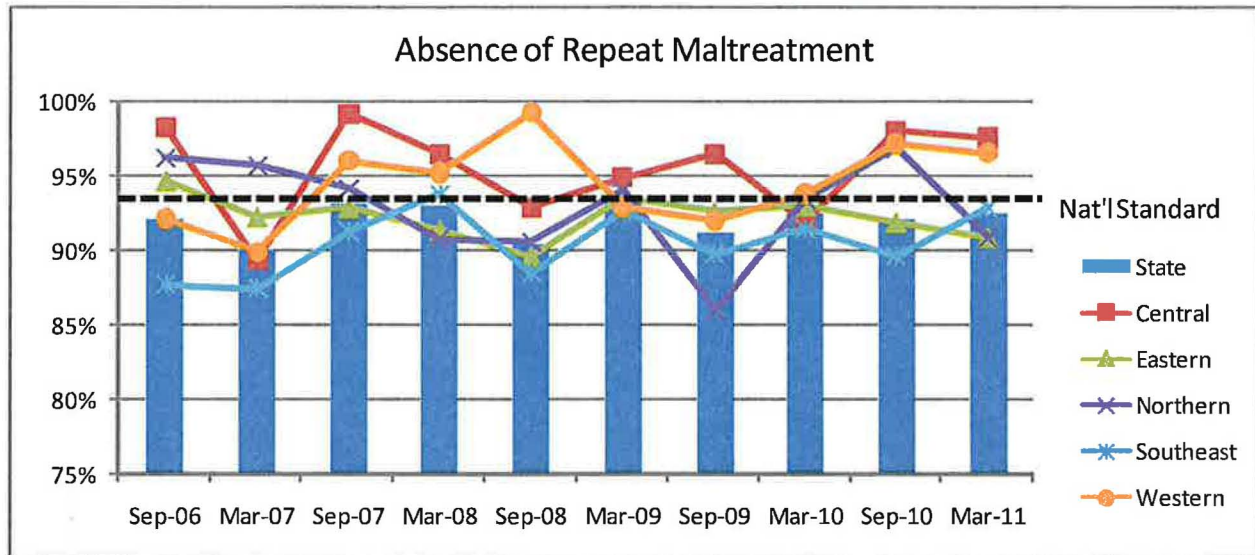
1 Ackoff, R.L., “From Data to Wisdom”, Journal of Applied Systems Analysis, Volume 16, 1989 p 3-9.

2 Allio, Robert J., “Russell L. Ackoff, Iconoclastic, Management Authority, Advocates a Systemic Approach to Innovation.” *Strategy and Leadership*, Vol. 31 No. 3, 2003, pg 21-22

- **These concepts of analysis, synthesis, and systemic thinking are the framework under which data and information must be considered when making decisions and developing policy in child welfare.** It is simply not enough to make conclusions regarding child welfare success or failure by taking one issue in isolation: the number of children served increased/decreased, or the number of out of home placements increased/decreased, or the number of state wards increased/decreased, or repeat maltreatment increased/decreased, or the number of children entering the system increased or decreased, or the costs of letter of agreements increased/decreased, or the cost of residential care went down, etc. No one piece of data tells the whole story. No entity--the department, the lead agencies, judges, prosecutors, attorneys, stakeholders, or Magellan--can make determinations apart from each other. To use Ackoff's analogy--rebuilding the engine apart from knowing the model of car, while another works on the steering , while another works separately on a speedometer that in the end will only show last week's speed, will not work. There needs to be systems thinking, collaboration, and integration across all governmental branches with stakeholders and with highly skilled leadership in order to provide child welfare reform that will meaningfully provide the appropriate services to children for their safety, permanency and well being.

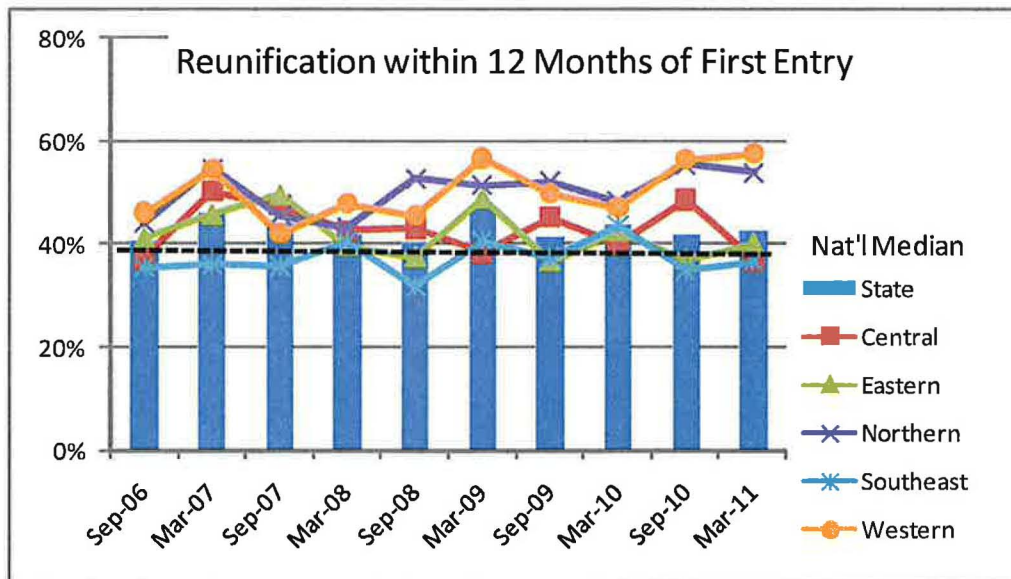
Safety: The Absence of Maltreatment Recurrence (A higher number is desired)

Nebraska data:



Permanency: Reunification within 12 Months of First Entry (A higher number is desired)

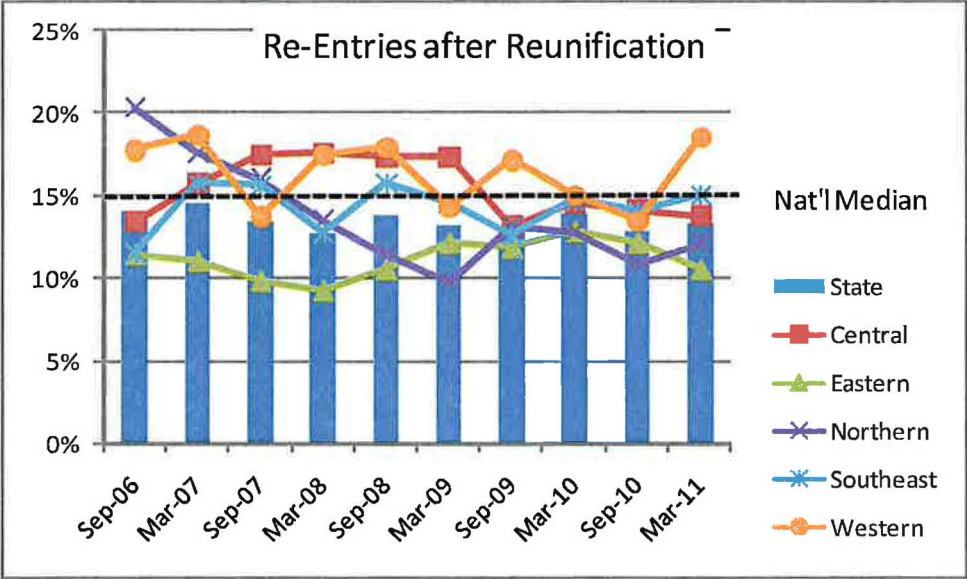
Nebraska data:



Data Source: <http://www.dhhs.ne.gov/compass/>

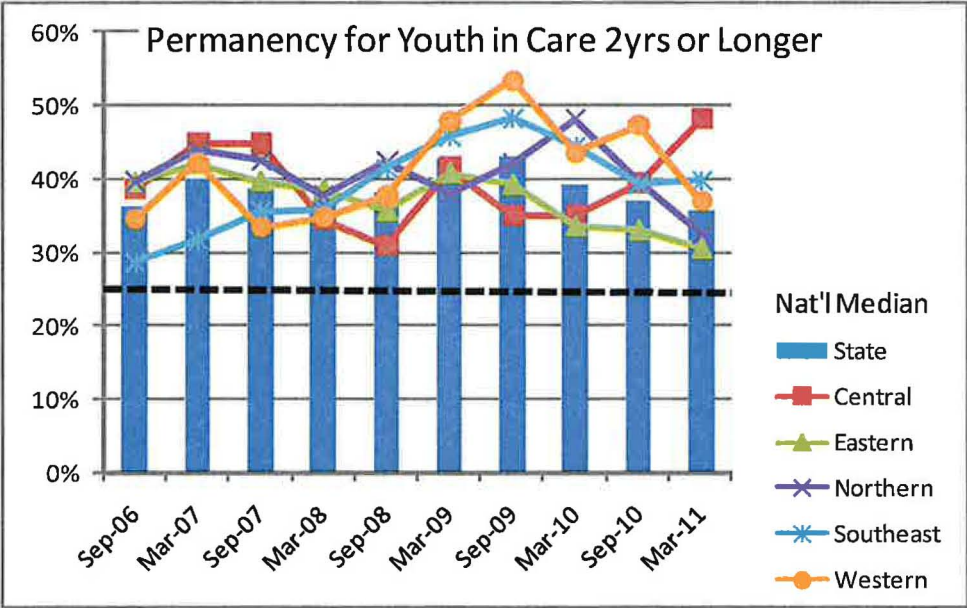
Permanency: Re-Entries After Reunification (A lower number is desired)

Nebraska data:



Permanency: Exits to Permanency for Youth in Care 2yrs or More (A higher number is desired)

Nebraska data:



Data Source: <http://www.dhhs.ne.gov/compass/>

DHHS Information re Definitions used in DATA

“Counts of Children” regarding the number of children served at points-in-time for October 2009 and June 13, 2011.

The number of children served in the October 2009 data only includes **state wards**, children under 19 years of age involved in a court case with a legal status of HHS-OJS ward, both OJS and HHS ward, police holds, and voluntary placement agreements

June 13, 2011 **number of children served** includes all children and not just state wards. Children as a whole include wards and the following: 1) siblings who are involved in a court case but not a ward or under court supervision; 2) non-court involved children; 3) Court supervision; and 4) children receiving a single service or evaluation only

The initial budget allocations in 2009 were based on historical expenditures and not the number of state wards served. The initial allocation for FY2011 was based on the historical expenditures. The Revised and Current 2011 budget allocations are based on the percentage of children served. Therefore, the percentage of budget allocation is now aligned with the percentage of children served.

Please note that significant data clean up and methodology as to how children are counted has occurred since 2009, therefore the way in which children are reported and counted has changed.

PERCENTAGE OF CHILDREN SERVED AND ALLOCATION OF BUDGET BY SERVICE AREA

Contractor	Service Area	Initial		Revised		Current	
		FY11 Contract Allocation	% of Children Served*	FY11 Contract Allocation	% of Children Served**	FY12 Contract Allocation	% of Children Served**
DHHS	Western	\$ 11,558,826.85	10.90%	\$ 10,922,561.15	10.30%	\$ 11,389,332.22	10.30%
DHHS	Northern	\$ 10,710,472.58	10.10%	\$ 10,180,251.17	9.60%	\$ 10,615,299.94	9.60%
DHHS	Central	\$ 11,919,377.41	11.24%	\$ 9,119,808.34	8.60%	\$ 9,509,539.53	8.60%
KVC	Southeast	\$ 30,561,962.36	28.82%	\$ 33,297,904.86	31.40%	\$ 34,720,876.87	31.40%
KVC	Eastern	\$ 13,764,547.93	12.98%	\$ 15,694,553.88	14.80%	\$ 16,365,254.07	14.80%
DHHS	Eastern	\$ 13,764,547.93	12.98%	\$ 13,149,491.09	12.40%	\$ 13,711,429.08	12.40%
NFC	Eastern	\$ 13,764,547.93	12.98%	\$ 13,679,712.51	12.90%	\$ 14,264,309.29	12.90%
		\$ 106,044,282.99		\$ 106,044,283.00		\$ 110,576,041.00	

*Based on October 2009 point in time

**Based on June 13, 2011 point in time (wards and non-wards)

11-14

28-Jul-11

Prepared by J. Atkinson

Explantion of Data Descriptions

- Non-Court Involved Children - These are situations where children are being served by CFS or a Contractor and there is no official Court involvement. CFS has determined that a safety threat exists and the family agrees to work with CFS or the Contractor. Some people refer to these situations as “voluntary” service cases. Most of the same services available to court involved families are available to non-court involved families. Services help to insure child safety and enhance the parent’s ability to provide safety for their child.
- Single Service – These are situations in which CFS is conducting a Safety Assessment and the situation may require that a service needs to be provided or purchased on a one-time basis. The Contractor in working with CFS staff then provides or purchases a ‘single service’ such as an interpreter. At this point the Contractor is not being asked to provide any type of case management or service coordination. Whether the case comes into the system and gets assigned to the Contractor is based on the determination of the Safety Assessment. Some single services that can be provided are an interpreter or a drug test.
- Evaluation Only- These are situations specific to the Office of Juvenile Services (OJS). In these situations, youth are adjudicated by the courts with a delinquency charge and then court ordered to receive an OJS Evaluation to assist the Court in understanding the youth’s needs and risks. The OJS evaluation occurs prior to the Court’s disposition of the matter. DHHS is required to make arrangements for the evaluation and to deliver the report to the Court. As soon as the evaluation is completed and provided to the Court, the Department is relieved of further responsibility. The Court can then decide to place the youth in the custody of the Department for ongoing services or choose another disposition such as Probation. The only service provided by DHHS in these cases is the OJS evaluation and short term residential care housing during the time of the evaluation if the evaluation does not occur in the community.
- Court Supervision – These are situations in which the Court has dismissed DHHS from custody, but the Court wants to monitor progress. The Department or Contractor do not regularly provide services for these cases, but have to complete some monitoring of the situation. Minimal follow-up and reporting to the court occurs in order to provide an update on progress as needed.
- Yes, children in the situations described above were included in the calculations.

HHS/OJS Wards October 2009 (as of 11/2/2009) by Service Area

Source: N-FOCUS, Derived Placement Data by Service Area and State 11/2/2009 report

	CSA	ESA	NSA	SESA	WSA	State
HHS Ward (includes direct relinquishment, police holds, voluntary placement agreements)	511	2,085	354	1,335	461	4,746
OJS Ward	183	512	167	531	152	1,545
Total Wards	694	2,597	521	1,866	613	6,291

HHS Ward includes direct relinquishment, police holds, and voluntary placement agreements. Wards who were both an HHS ward and OJS ward could have fallen into either (HHS ward or OJS ward) category, although workers were encouraged to enter HHS ward as the legal status for these youth.

	Total	Non-Court	Court	Wards	Siblings	Single Service/ Evaluation/ Court Supervision*
Statewide						
Sept. 2010	10,267	1,633	8,162	6,067	1,869	472
Oct. 2010	10,197	1,296	8,408	6,138	2,018	493
Nov. 2010	10,176	1,276	8,430	6,101	2,098	470
Dec. 2010	10,124	1,261	8,381	6,093	2,040	482
Jan. 2011	10,236	1,319	8,439	6,117	2,083	478
Feb. 2011	9,907	1,174	8,314	6,117	1,949	419
Mar. 2011	9,598	873	8,338	6,154	1,979	387
Apr. 2011	9,661	872	8,385	6,160	1,983	404
May. 2011	9,800	876	8,510	6,199	2,050	414
Jun. 13, 2011	9,573	956	8,185	6,176	2,009	432
Change	-6.76%	-41.46%	0.32%	-1.09%	6.13%	21.65%
Central Service Area						
Sept. 2010	1,001	125	832	665	164	44
Oct. 2010	964	92	835	675	156	37
Nov. 2010	972	97	842	665	172	33
Dec. 2010	945	109	805	643	158	31
Jan. 2011	917	99	791	625	165	27
Feb. 2011	845	54	766	619	146	25
Mar. 2011	818	35	757	612	145	26
Apr. 2011	818	44	747	601	143	27
May. 2011	811	54	726	579	143	31
Jun. 13, 2011	820	58	726	587	139	36
Change	-18.08%	-53.60%	-12.74%	-11.73%	-15.24%	-18.18%
Eastern Service Area						
Sept. 2010	3,651	275	3,285	2,577	704	91
Oct. 2010	3,732	243	3,386	2,627	756	103
Nov. 2010	3,770	214	3,460	2,630	827	96
Dec. 2010	3,798	219	3,497	2,650	842	82
Jan. 2011	3,851	222	3,567	2,682	881	62
Feb. 2011	3,849	235	3,541	2,658	878	73
Mar. 2011	3,848	240	3,539	2,631	901	69
Apr. 2011	3,853	239	3,539	2,619	913	75
May. 2011	3,877	265	3,560	2,622	930	52
Jun. 13, 2011	3,856	303	3,496	2,586	910	57
Change	5.61%	10.18%	6.52%	-2.16%	42.41%	-69.32%
KVC (Eastern Service Area)						
Sept. 2010	1,350	89	1,199	945	253	62
Oct. 2010	1,379	77	1,231	967	262	71
Nov. 2010	1,399	68	1,262	961	300	69
Dec. 2010	1,420	65	1,293	984	307	62
Jan. 2011	1,436	72	1,319	988	329	45
Feb. 2011	1,433	71	1,296	977	316	66
Mar. 2011	1,455	78	1,317	970	343	60
Apr. 2011	1,470	81	1,323	978	341	66
May. 2011	1,462	104	1,318	974	339	40
Jun. 13, 2011	1,445	114	1,286	958	328	45
Change	7.04%	28.09%	7.26%	1.38%	29.64%	-27.42%
NFC (Eastern Service Area)						
Sept. 2010	1,209	117	1,074	858	213	18
Oct. 2010	1,222	101	1,098	859	238	23
Nov. 2010	1,212	89	1,109	851	256	14
Dec. 2010	1,201	96	1,095	834	258	10
Jan. 2011	1,211	88	1,115	863	250	8
Feb. 2011	1,203	91	1,111	853	257	1
Mar. 2011	1,222	105	1,112	850	260	5
Apr. 2011	1,234	106	1,121	855	264	7
May. 2011	1,231	97	1,125	853	271	9
Jun. 13, 2011	1,228	113	1,106	832	274	9
Change	1.57%	-3.42%	2.98%	-3.03%	28.64%	-50.00%

CFS
Sept. 2010 –
June 2011

Children by
population
breakdown.

	Total	Non-Court	Court	Wards	Siblings	Single Service/ Evaluation/ Court Supervision*
DHHS (Eastern Service Area)						
Sept. 2010	1,092	69	1,012	774	238	11
Oct. 2010	1,131	65	1,057	801	256	9
Nov. 2010	1,159	57	1,089	818	271	13
Dec. 2010	1,177	58	1,109	832	277	10
Jan. 2011	1,204	62	1,133	831	302	9
Feb. 2011	1,213	73	1,134	828	305	6
Mar. 2011	1,171	57	1,110	811	298	4
Apr. 2011	1,149	52	1,095	786	308	2
May. 2011	1,184	64	1,117	795	320	3
Jun. 13, 2011	1,183	76	1,104	796	308	3
Change	8.33%	10.14%	9.09%	2.84%	29.41%	-72.73%
Northern Service Area						
Sept. 2010	1,021	184	806	571	229	31
Oct. 2010	1,006	162	803	553	238	41
Nov. 2010	1,027	192	784	548	223	51
Dec. 2010	1,021	197	776	540	226	48
Jan. 2011	1,042	239	759	544	207	44
Feb. 2011	958	171	739	557	177	48
Mar. 2011	920	132	738	566	178	50
Apr. 2011	924	114	756	569	173	54
May. 2011	924	108	766	580	174	50
Jun. 13, 2011	919	99	765	593	172	55
Change	-9.99%	-46.20%	-5.09%	3.85%	-24.89%	77.42%
KVC (Southeast Service Area)						
Sept. 2010	3,301	607	2,420	1,664	556	274
Oct. 2010	3,210	380	2,554	1,695	640	276
Nov. 2010	3,104	350	2,492	1,648	641	262
Dec. 2010	3,083	321	2,471	1,649	599	291
Jan. 2011	3,135	334	2,483	1,666	602	318
Feb. 2011	3,019	327	2,450	1,666	560	242
Mar. 2011	3,070	349	2,503	1,724	583	218
Apr. 2011	3,113	348	2,541	1,748	586	224
May. 2011	3,201	321	2,628	1,777	620	252
Jun. 13, 2011	2,997	355	2,392	1,778	614	250
Change	-9.21%	-41.52%	-1.16%	6.85%	10.43%	-8.76%
Western Service Area						
Sept. 2010	1,293	442	819	590	216	32
Oct. 2010	1,285	419	830	588	228	36
Nov. 2010	1,303	423	852	610	235	28
Dec. 2010	1,277	415	832	611	215	30
Jan. 2011	1,291	425	839	600	228	27
Feb. 2011	1,236	387	818	617	188	31
Mar. 2011	942	117	801	621	172	24
Apr. 2011	953	127	802	623	168	24
May. 2011	987	128	830	641	183	29
Jun. 13, 2011	981	141	806	632	174	34
Change	-24.13%	-68.10%	-1.59%	7.12%	-19.44%	6.25%
KVC (Combined)						
Sept. 2010	4,651	696	3,619	2,609	809	336
Oct. 2010	4,589	457	3,785	2,662	902	347
Nov. 2010	4,503	418	3,754	2,609	941	331
Dec. 2010	4,503	386	3,764	2,633	906	353
Jan. 2011	4,571	406	3,802	2,654	931	363
Feb. 2011	4,452	398	3,746	2,643	876	308
Mar. 2011	4,525	427	3,820	2,694	926	278
Apr. 2011	4,583	429	3,864	2,726	927	290
May. 2011	4,663	425	3,946	2,751	959	292
Jun. 13, 2011	4,442	469	3,678	2,736	942	295
Change	-4.49%	-32.61%	1.71%	-0.18%	7.66%	235.44%
Wards and Siblings are a subset of court cases. In most cases, added together, the two total the number of court cases. In a few cases, the total number of court cases exceeds the sum of wards and siblings. This is because a few court cases are identified as court supervision or evaluations (included in the last column of this table).						

CFS
Sept. 2010 –
June 2011

Children by
population
breakdown.

Subset of # of Wards			
	OJS Wards	HHS Wards	# of Wards*
Statewide			
Jun. 13, 2011	1,514	4,662	6,176

By Service Area:			
Western Service Area			
Jun. 13, 2011	150	482	632
Central Service Area			
Jun. 13, 2011	170	417	587
Northern Service Area			
Jun. 13, 2011	188	405	593
Southeast Service Area			
Jun. 13, 2011	507	1,271	1,778
Eastern Service Area			
Jun. 13, 2011	499	2,087	2,586

By Contractor:			
KVC (Eastern Service Area)			
Jun. 13, 2011	184	774	958
KVC (Southeast Service Area)			
Jun. 13, 2011	502	1,276	1,778
DHHS (Eastern Service Area)			
Jun. 13, 2011	164	632	796
NFC (Eastern Service Area)			
Jun. 13, 2011	151	681	832

Summary:			
Contractor			
Jun. 13, 2011	837	2,731	3,568
DHHS			
Jun. 13, 2011	677	1,931	2,608

CFS Court-involved and Non Court-involved by Service Area as of June 13, 2011

**Department of Health and Human Services
Division of Children and Family Services**

	Court-Involved Children			Non-Court Involved Children	Single Service/ Evaluation/ Court Supervision**	Total
	# of Wards*	# of Siblings*	Total # of Court- Involved Children	# of Children		
Statewide						
Jun. 13, 2011	6,176	2,009	8,185	956	402	9,543

By Service Area:						
Western Service Area						
Jun. 13, 2011	632	174	806	141	34	981
Central Service Area						
Jun. 13, 2011	587	139	726	58	36	820
Northern Service Area						
Jun. 13, 2011	593	172	765	99	55	919
Southeast Service Area						
Jun. 13, 2011	1,778	614	2,392	355	250	2,997
Eastern Service Area						
Jun. 13, 2011	2,586	910	3,496	303	27	3,826

By Contractor:						
KVC (Eastern Service Area)						
Jun. 13, 2011	958	328	1,286	114	15	1,415
KVC (Southeast Service Area)						
Jun. 13, 2011	1,778	614	2,392	355	250	2,997
DHHS (Eastern Service Area)						
Jun. 13, 2011	796	308	1,104	76	3	1,183
NFC (Eastern Service Area)						
Jun. 13, 2011	832	274	1,106	113	9	1,228

Summary:						
Contractor						
Jun. 13, 2011	3,568	1,216	4,784	582	274	5,640
DHHS						
Jun. 13, 2011	2,608	793	3,401	374	128	3,903

*Wards and Siblings are subsets of 'Court Involved Children'.

**Single Service/Evaluation/Court Supervision may be in either Court or Non-Court cases. These Children are not included in the 'Court Involved Children' and 'Non-Court Involved Children' columns.

**Statewide
Children Placed with Siblings
June 27, 2011**

Number of Siblings in Out of Home Care	Two Siblings Placed Together	Three Siblings Placed Together	Four Siblings Placed Together	Five Siblings Placed Together	Six Siblings Placed Together	Seven Siblings Placed Together	Not Placed With Siblings	Grand Total	Percent with All Siblings Together	Percent with at Least One Sibling
2 Siblings	636	0	0	0	0	0	266	902	59.69%	78.22%
3 Siblings	128	420	0	0	0	0	130	678		
4 Siblings	106	21	216	0	0	0	49	392		
5 Siblings	42	36	12	40	0	0	30	160		
6 Siblings	16	30	8	0	24	0	12	90		
7 Siblings	8	6	4	0	0	7	3	28		
Grand Total	936	513	240	40	24	7	490	2250		

**Central Service Area
Children Placed with Siblings
June 27, 2011**

Number of Siblings in Out of Home Care	Two Siblings Placed Together	Three Siblings Placed Together	Four Siblings Placed Together	Five Siblings Placed Together	Six Siblings Placed Together	Seven Siblings Placed Together	Not Placed With Siblings	Grand Total	Percent with All Siblings Together	Percent with at Least One Sibling
2 Siblings	60	0	0	0	0	0	20	80	69.68%	79.35%
3 Siblings	2	30	0	0	0	0	7	39		
4 Siblings	4	3	12	0	0	0	1	20		
5 Siblings	0	6	0	0	0	0	4	10		
6 Siblings	0	0	0	0	6	0	0	6		
7 Siblings	0	0	0	0	0	0	0	0		
Grand Total	66	39	12	0	6	0	32	155		

**Eastern Service Area
Children Placed with Siblings
June 27, 2011**

Number of Siblings in Out of Home Care	Two Siblings Placed Together	Three Siblings Placed Together	Four Siblings Placed Together	Five Siblings Placed Together	Six Siblings Placed Together	Seven Siblings Placed Together	Not Placed With Siblings	Grand Total	Percent with All Siblings Together	Percent with at Least One Sibling
2 Siblings	248	0	0	0	0	0	118	366	57.41%	78.51%
3 Siblings	64	180	0	0	0	0	50	294		
4 Siblings	54	15	132	0	0	0	27	228		
5 Siblings	26	24	12	20	0	0	18	100		
6 Siblings	0	12	0	0	6	0	6	24		
7 Siblings	4	3	4	0	0	7	3	21		
Grand Total	396	234	148	20	6	7	222	1033		

**Northern Service Area
Children Placed with Siblings
June 27, 2011**

Number of Siblings in Out of Home Care	Two Siblings Placed Together	Three Siblings Placed Together	Four Siblings Placed Together	Five Siblings Placed Together	Six Siblings Placed Together	Seven Siblings Placed Together	Not Placed With Siblings	Grand Total	Percent with All Siblings Together	Percent with at Least One Sibling
2 Siblings	68	0	0	0	0	0	16	84	68.62%	85.11%
3 Siblings	14	42	0	0	0	0	10	66		
4 Siblings	8	0	8	0	0	0	0	16		
5 Siblings	4	0	0	5	0	0	1	10		
6 Siblings	2	3	0	0	6	0	1	12		
7 Siblings	0	0	0	0	0	0	0	0		
Grand Total	96	45	8	5	6	0	28	188		

**Southeast Service Area
Children Placed with Siblings
June 27, 2011**

Number of Siblings in Out of Home Care	Two Siblings Placed Together	Three Siblings Placed Together	Four Siblings Placed Together	Five Siblings Placed Together	Six Siblings Placed Together	Seven Siblings Placed Together	Not Placed With Siblings	Grand Total	Percent with All Siblings Together	Percent with at Least One Sibling
2 Siblings	186	0	0	0	0	0	94	280	54.82%	73.95%
3 Siblings	36	114	0	0	0	0	48	198		
4 Siblings	38	3	48	0	0	0	19	108		
5 Siblings	10	3	0	10	0	0	7	30		
6 Siblings	14	15	8	0	6	0	5	48		
7 Siblings	0	0	0	0	0	0	0	0		
Grand Total	284	135	56	10	6	0	173	664		

**Western Service Area
Children Placed with Siblings
June 27, 2011**

Number of Siblings in Out of Home Care	Two Siblings Placed Together	Three Siblings Placed Together	Four Siblings Placed Together	Five Siblings Placed Together	Six Siblings Placed Together	Seven Siblings Placed Together	Not Placed With Siblings	Grand Total	Percent with All Siblings Together	Percent with at Least One Sibling
2 Siblings	74	0	0	0	0	0	18	92	70.95%	83.33%
3 Siblings	12	54	0	0	0	0	15	81		
4 Siblings	2	0	16	0	0	0	2	20		
5 Siblings	2	3	0	5	0	0	0	10		
6 Siblings	0	0	0	0	0	0	0	0		
7 Siblings	4	3	0	0	0	0	0	7		
Grand Total	94	60	16	5	0	0	35	210		

Point in Time Report: Youth Served Placement		KVC Nebraska		
	November-09	November-10	June-11	
Wards in the parental home	111	935	882	The number is a reflection of the youth who are in the following types of care: <input type="checkbox"/> Approved Child Specific Foster Home <input type="checkbox"/> Continuity Foster Care <input type="checkbox"/> Emergency Shelter Foster Care <input type="checkbox"/> Foster Home-Traditional <input type="checkbox"/> Foster Home-Agency Based <input type="checkbox"/> Foster Home-Treatment <input type="checkbox"/> Relative Foster Home (Approved) <input type="checkbox"/> Relative Foster Home (Licensed) <input type="checkbox"/> Center for Developmentally Disabled <input type="checkbox"/> Emergency Shelter Center <input type="checkbox"/> Enhanced Treatment Group Home <input type="checkbox"/> Group Home <input type="checkbox"/> Group Home A <input type="checkbox"/> Group Home-Treatment <input type="checkbox"/> Medical Hospital <input type="checkbox"/> Mental Health Facility <input type="checkbox"/> Nursing Home <input type="checkbox"/> Omaha Tribal Relative (Licensed) <input type="checkbox"/> Psychiatric Hospital <input type="checkbox"/> Residential Treatment Facility
<i>Southeast Service Area</i>	61	650	607	
<i>Eastern Service Area</i>	50	285	275	
Total number of youth in out of home placement	297	1721	1762	
<i>Southeast Service Area</i>	114	1042	1122	
<i>Eastern Service Area</i>	183	679	640	
*Transition began November 1st, 2009; transition completed January 30, 2010				
	November-09	November-10	June-11	
Total number of youth in foster care placement	229	1291	1354	The number is a reflection of the youth who are in the following types of care: <input type="checkbox"/> Approved Child Specific Foster Home <input type="checkbox"/> Continuity Foster Care <input type="checkbox"/> Emergency Shelter Foster Care <input type="checkbox"/> Foster Home-Traditional <input type="checkbox"/> Foster Home-Agency Based <input type="checkbox"/> Foster Home-Treatment <input type="checkbox"/> Omaha Tribal Relative (Licensed) <input type="checkbox"/> Relative Foster Home (Approved) <input type="checkbox"/> Relative Foster Home (Licensed)
<i>Southeast Service Area</i>	72	767	852	
<i>Eastern Service Area</i>	157	524	502	
The following numbers are subsets for the total above				
	November-09	November-10	June-11	
Total number of youth in relative foster homes	101	423	462	The number is a reflection of the youth who are in the following types of care: <input type="checkbox"/> Licensed Relative <input type="checkbox"/> Approved Relative <input type="checkbox"/> Omaha Tribal Relative (Licensed)
<i>Southeast Service Area</i>	27	228	269	
<i>Eastern Service Area</i>	70	183	182	
	November-09	November-10	June-11	

Total number of youth in child specific (kinship) foster homes	14	136	138
<i>Southeast Service Area</i>	13	82	98
<i>Eastern Service Area</i>	1	54	40

Note: The youth in child specific (kinship) foster home numbers is a subset of the numbers reflected in the category of youth in foster care placements.

	November-09	November-10	June-11
<i>Southeast Service Area</i>	40	310	367
<i>Eastern Service Area</i>	71	237	222
Total Relative/Child Specific	115	559	600

	November-09	November-10	June-11
Total number of youth in non-relative foster homes	118	726	765
<i>Southeast Service Area</i>	32	439	485
<i>Eastern Service Area</i>	86	287	280

Total number of youth in *non-relative foster care* include youth in the following types of care:

- o Continuity Foster Care
- o Emergency Shelter Foster Care
- o Foster Home-Traditional
- o Foster Home-Agency Based
- o Foster Home-Treatment

	November-09	November-10	June-11
Total number of youth in served in Group Home Placement or another form of institution placement	57	371	333
<i>Southeast Service Area</i>	39	234	224
<i>Eastern Service Area</i>	18	137	109

Total number youth in *Group Home* include youth in the following types of care:

- o Center for Developmentally Disabled
- o Emergency Shelter Center
- o Enhanced Treatment Group Home
- o Group Home
- o Group Home A
- o Group Home-Treatment
- o Medical Hospital
- o Mental Health Facility
- o Nursing Home
- o Psychiatric Hospital
- o Residential Treatment Facility

	November-09	November-10	June-11
Total number of youth in served in detention facilities or the Youth Rehabilitation Treatment Centers (YRTC)	28	151	
<i>Southeast Service Area</i>	15	99	
<i>Eastern Service Area</i>	13	52	

Re-entry is defined as any HHS-Ward who has been in out of home care, has been reunified, and has re-entered out of home care in less than 12-months.

Point in Time Report: Re-entries (Federal Definition) KVC Nebraska

	November-09	November-10	June-11	
				103 youth re-entered out of home placement and were being served by KVC on November 30, 2010. Of these 103 youth, 58 youth re-entered care during 2009 and 45 youth re-entered care during 2010.
Total number of re-entries	3	103	109	The majority of the youth re-entering care during 2010 had a previous discharge date from out of home care during 2009, prior to when KVC began providing service coordination. Many of these cases are considered "legacy" cases that had been receiving services prior to the Families Matter Initiative.
<i>Southeast Service Area</i>	3	70	79	
<i>Eastern Service Area</i>	0	33	30	
Of the total number of re-entries in November 2010, number of re-entries that occurred in 2009		58		
<i>Southeast Service Area</i>		42		
<i>Eastern Service Area</i>		16		
Of the total number of re-entries in November 2010, number of re-entries that occurred in 2010		45		
<i>Southeast Service Area</i>		28		
<i>Eastern Service Area</i>		17		109 re-entered out of home placement and were being served by KVC on June 20th, 2011. As the table to the left shows there were 12 re-entries from 2009; 82 re-entries from 2010, and 15 re-entries from 2011. The majority of re-entries into out of home care from 2009 and 2010 are youth who received case management from DHHS when they were reunified.
Of the total number of re-entries in June 2011, number of re-entries that occurred in 2009			12	
<i>Southeast Service Area</i>			11	
<i>Eastern Service Area</i>			1	Of the 15 youth that re-entered care in 2011, all of the youth had been receiving services from KVC when they were discharged to reunification.
Of the total number of re-entries in June 2011, number of re-entries that occurred in 2010			82	
<i>Southeast Service Area</i>			58	
<i>Eastern Service Area</i>			24	
Of the total number of re-entries in June 2011, number of re-entries that occurred in 2011			15	
<i>Southeast Service Area</i>			10	Aftercare is a contractual service provided to the families served by KVC-Nebraska. These families receive 12-months of continued support from KVC after their case is closed by DHHS.
<i>Eastern Service Area</i>			5	*Note: No re-entries reported as it was the beginning of the contract.
Point in Time Report: Re-entries (Contractual Re-entry data) KVC Nebraska				
	November-09	November-10	June-11	

Services	0*	2070	2660
<i>Southeast Service Area</i>	0*	1564	1788
<i>Eastern Service Area</i>	0*	506	872
	November-09	November-10	June-11
Total number of re-entries into DHHS care	0*	62	82
<i>Southeast Service Area</i>	0*	48	64
<i>Eastern Service Area</i>	0*	14	18

Re-entry is defined as any youth, irrespective of ward status, that re-enters DHHS child welfare within 12-months of discharge from DHHS.
 *Note: No re-entries reported as it was the beginning of the contract.

Nebraska Families
COLLABORATIVESM

9-22-2011

In November 2009, the NFC began to transition approximately one third on the Eastern Service Area youth and families. Thirty percent of families transitioned to the NFC in November 2009, twenty-five percent in December and January and twenty percent in February. By March 1, 2010, the entire one third of the Eastern Service Areas families were fully transitioned to the NFC.

The table below excludes November 2009 data since this month does not depict the total families the NFC would eventually transition effective March 1, 2010. In November 2009 there were 168 children served; 120 (71%) were HHS wards; 35 (21%) were OJS wards and the remaining three (2%) were voluntary placements.

This table better compares the entire served population.

Total Youth Served	Mar-10	Nov-10	Jun-11
number of children served	1115	1228	1239
number of HHS wards	680	714	678
number of OJS wards	174	151	128
number of voluntary placement agreements	12	16	21

Total Youth Served	Mar-10	Nov-10	Jun-11
number of children served	1115	1228	1239
number of HHS wards	61%	58%	55%
number of OJS wards	16%	12%	10%
number of voluntary placement agreements	1%	1%	2%

**Children served includes: Both OJS and HHS wards, child in a non-court case, evaluations only, HHS wards, HHS-OJS wards, Juvenile court wards, non-wards, parent/caretaker, unknown, voluntary placement agreements.*

The table below excludes November 2009 data since this month does not depict the total families the NFC would eventually transition effective March 1, 2010. In November 2009 there were only 58 (37%) wards that were in their natural homes. There were a total of 100 wards placed out of home. The breakdown of the out of home wards include: a total of 66 (66%) state wards were residing in foster care, 27 (41%) in foster family relative and 30 (59%) foster family non-relative. There were a total of 20 (20%) wards in congregate non-treatment, 8 (8%) in congregate treatment and three (3%) in detention and three (3%) on run.

This table better compares the entire served population.

Ward Only Data	Mar-09	Nov-10	Jun-11
number of in home	215	225	246
number in foster care	441	460	404
foster family relative	189	214	176
foster family non-relative	252	246	228
Congregate non-treatment	88	104	95

Congregate treatment	60	47	36
Detention	37	31	50
Runaway	13	23	12

Ward Only Data	Mar-09	Nov-10	Jun-11
number of in home	25%	25%	29%
number in foster care	69%	69%	68%
foster family relative	43%	47%	44%
foster family non-relative	57%	53%	56%
Congregate non-treatment	14%	16%	16%
Congregate treatment	9%	7%	6%
Detention	6%	5%	8%
Runaway	2%	3%	2%

**Wards are the only population used to measure this goal. Wards are defined as HHS wards, OJS wards, HHS/OJS wards, voluntary placement agreements and police holds.*

**Congregate Treatment = group home (treatment), enhanced treatment group home, psychiatric hospital, residential treatment and mental health facility. Congregate Non-treatment = group home, group home A, boarding home, emergency shelter care, center for developmentally disabled.*

	Nov-10	Jun-11
Children who re-entered in foster care	38	25
Children that re-entered before 11/2/09 when NFC began service coordination	27	4

**Re-entry is defined as any HHS-Ward who has been in out of home care, has been reunified, and has re-entered out of home care in less than 12-months.*

	Nov-09 thru Nov-10	Dec-10 thru Jun-11
Families who re-entered in Case Management with NFC	7	14

Division of Behavioral Health Children's Services Funded/Person's Served*

Mental Health

- Outpatient/Ax - 1810
- Professional Partner - 820
- Medication management - 22
- Respite care - 28
- Day Treatment - 4
- Home-based services - 8
- Therapeutic Consultation - 0
- Crisis Inpatient - 57

Substance Abuse

- Outpatient Assessment - 458
- Intensive Outpatient - 51
- Therapeutic Community - 36
- Youth Assessment - 15
- Partial care - 1
- Community Support - 18

Source: Unduplicated Number of Children Served in SFY10 in Magellan database. Information compiled September, 2011 by Ying Wang, Statistical Analyst III, Div. of Behavioral Health

Slide 7

Hastings Regional Center Number of Children Served FY06*

- Adolescent Chemical Dependency Unit for Youth Rehabilitation and Treatment Center-Kearney youth = approximately 99
- Hastings Regional Center (HJCDP) no longer provides psychiatric or mental health services. Hastings Regional Center provides chemical dependency treatment to youth who are State Wards from YRTC-K

*Hastings Regional Center no longer provides Acute Care
HRC provides care to youth who are State Wards

State Wards in Care as of 9-3-11

Total Wards in State Care: **6,056**

Wards in In-Home Care: **2,020**

OJS: 604

HHS: 1,416

Wards in Other Out-of-Home Care: **4,036**

OJS: 801

- Population at YRTC-K: 167
- Paroled population at HRCCDP: 17
- Population at YRTC-G: 88

HHS: 2,282

Wards Placed with Relatives: 953

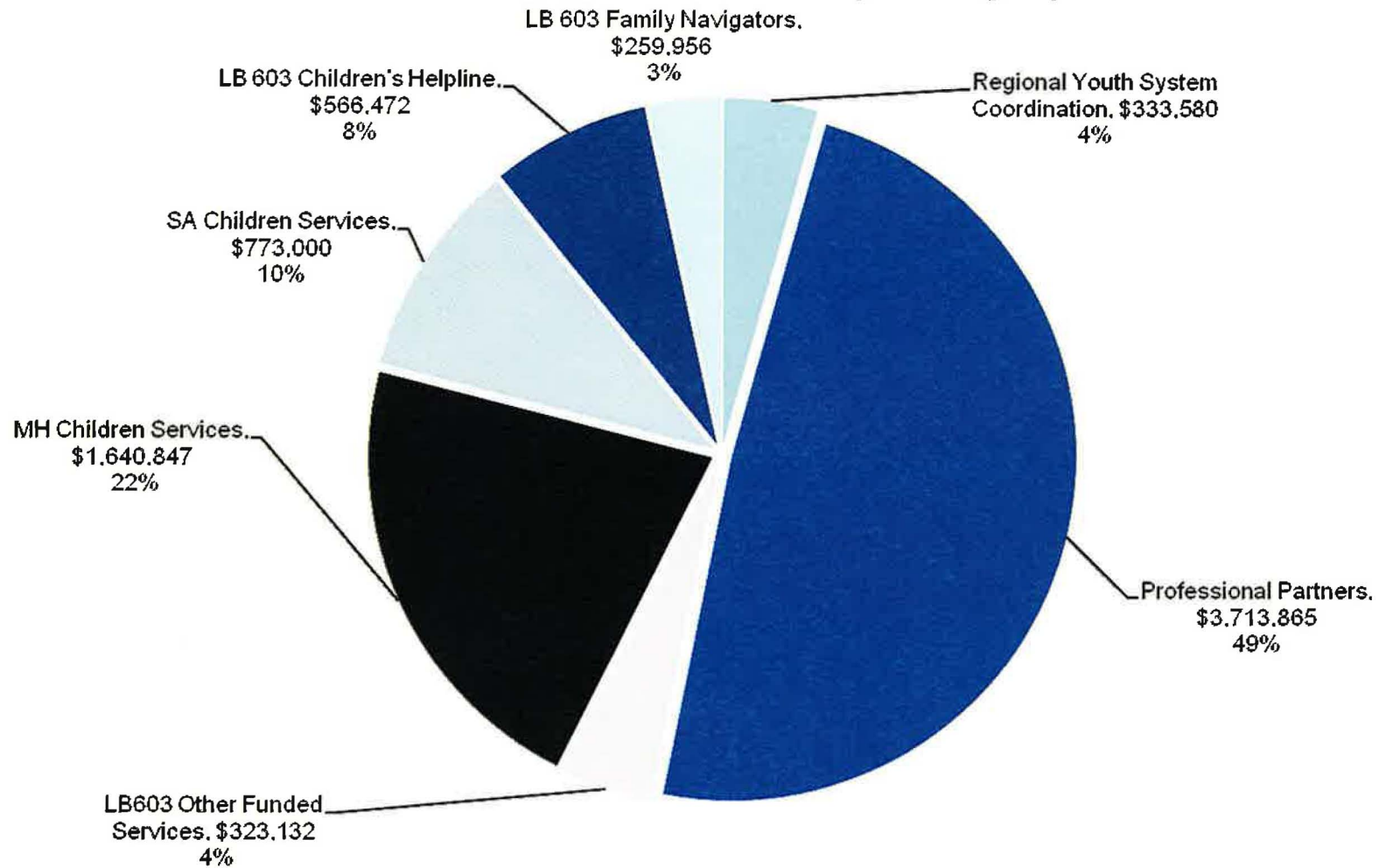
- OJS: 49
- HHS: 904

DHHS Funding Sources for Children's Behavioral Health Services

- **Behavioral Health Division:
\$7,610,852**
- **Medicaid Division: \$108,009,496**
- **Children and Family Services Division
: \$4,368,603**

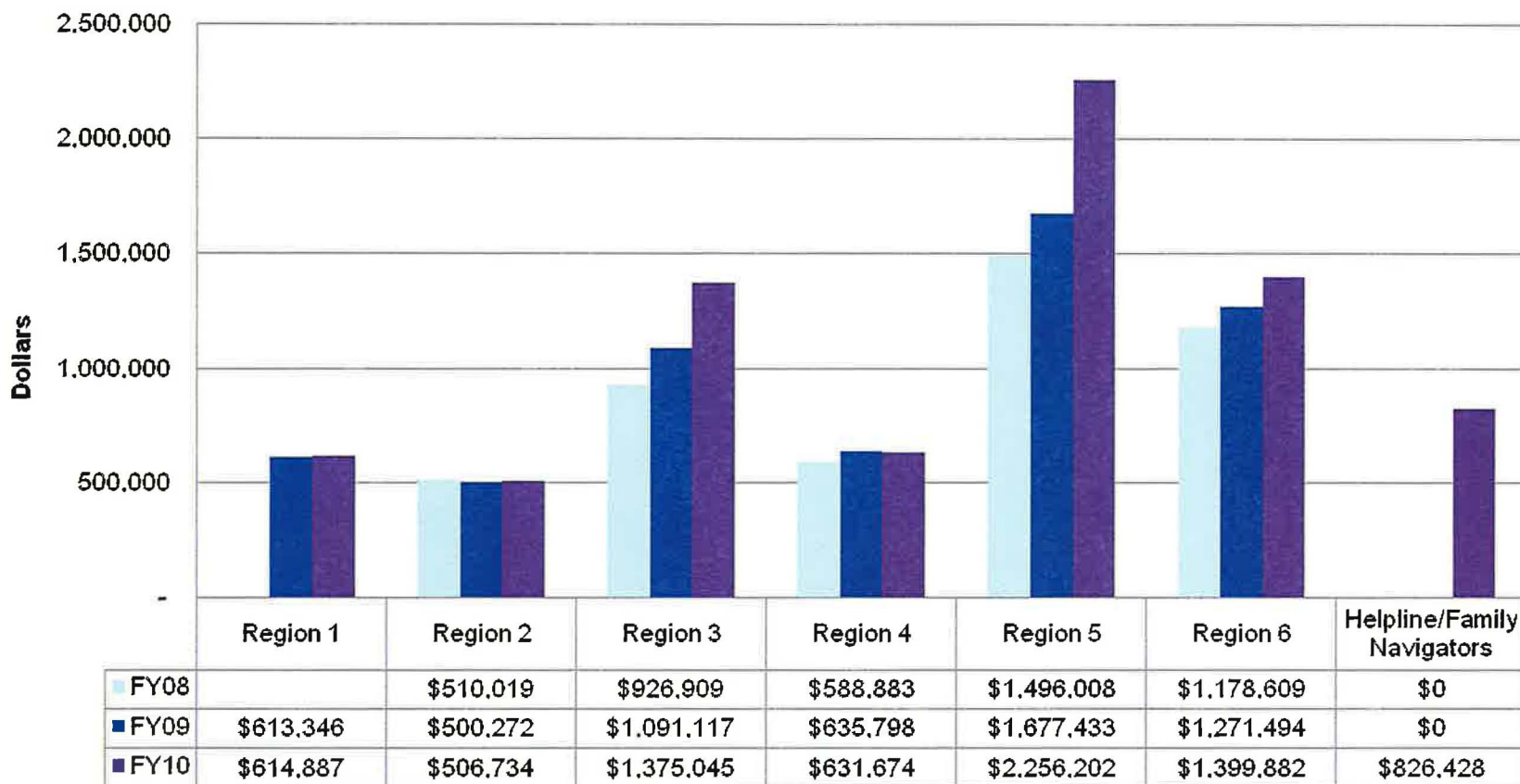
Behavioral Health Expenditures

FY10 Children Services by Category

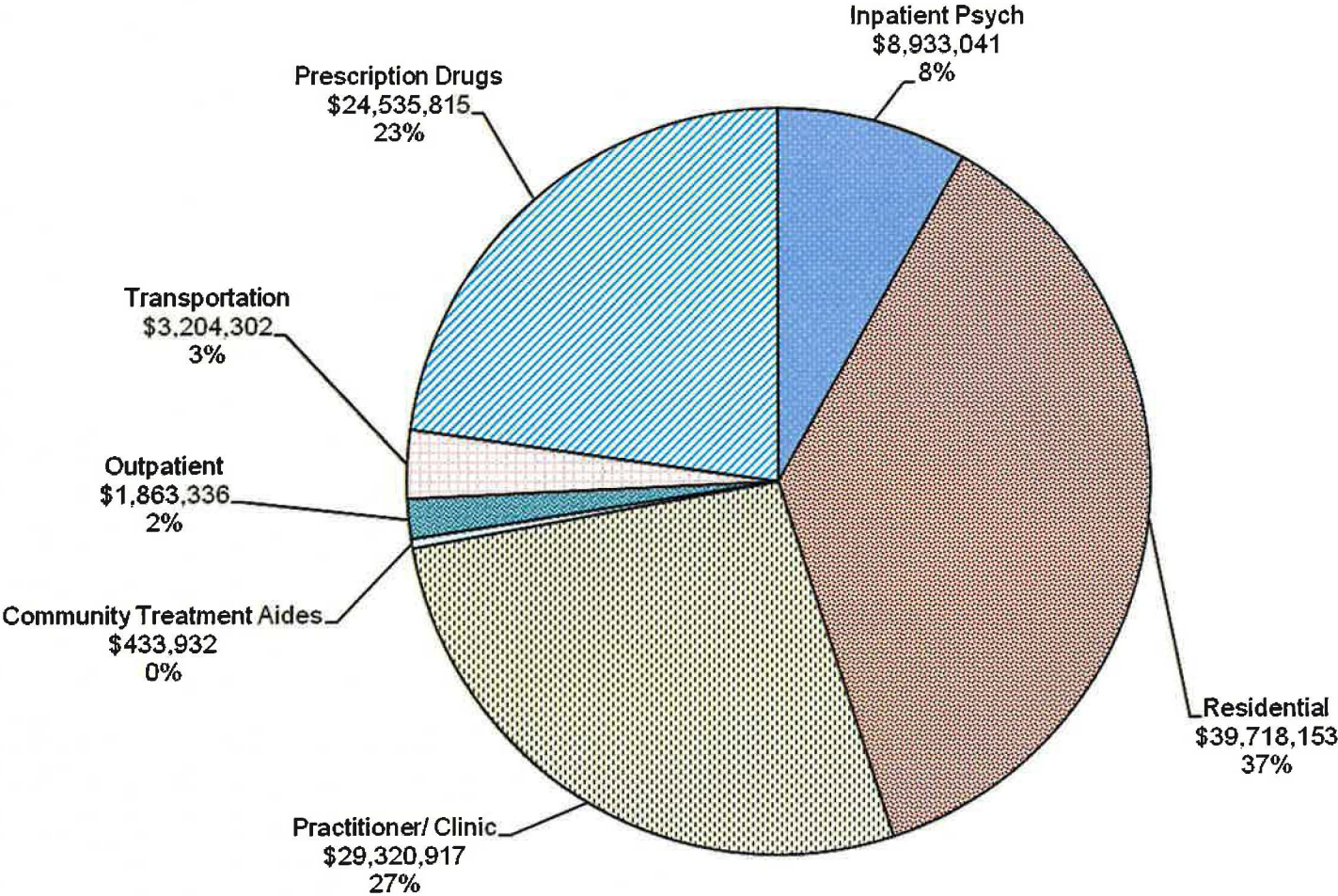


Source: Monthly billing documents submitted by Regional Behavioral Health Authorities to the Division of Behavioral Health. Expenditures reflect only state and federal mental health and substance abuse funds paid through DHHS and do not include local or Medicaid funds. September, 2011

Behavioral Health Division Children's Expenditures (cont.)

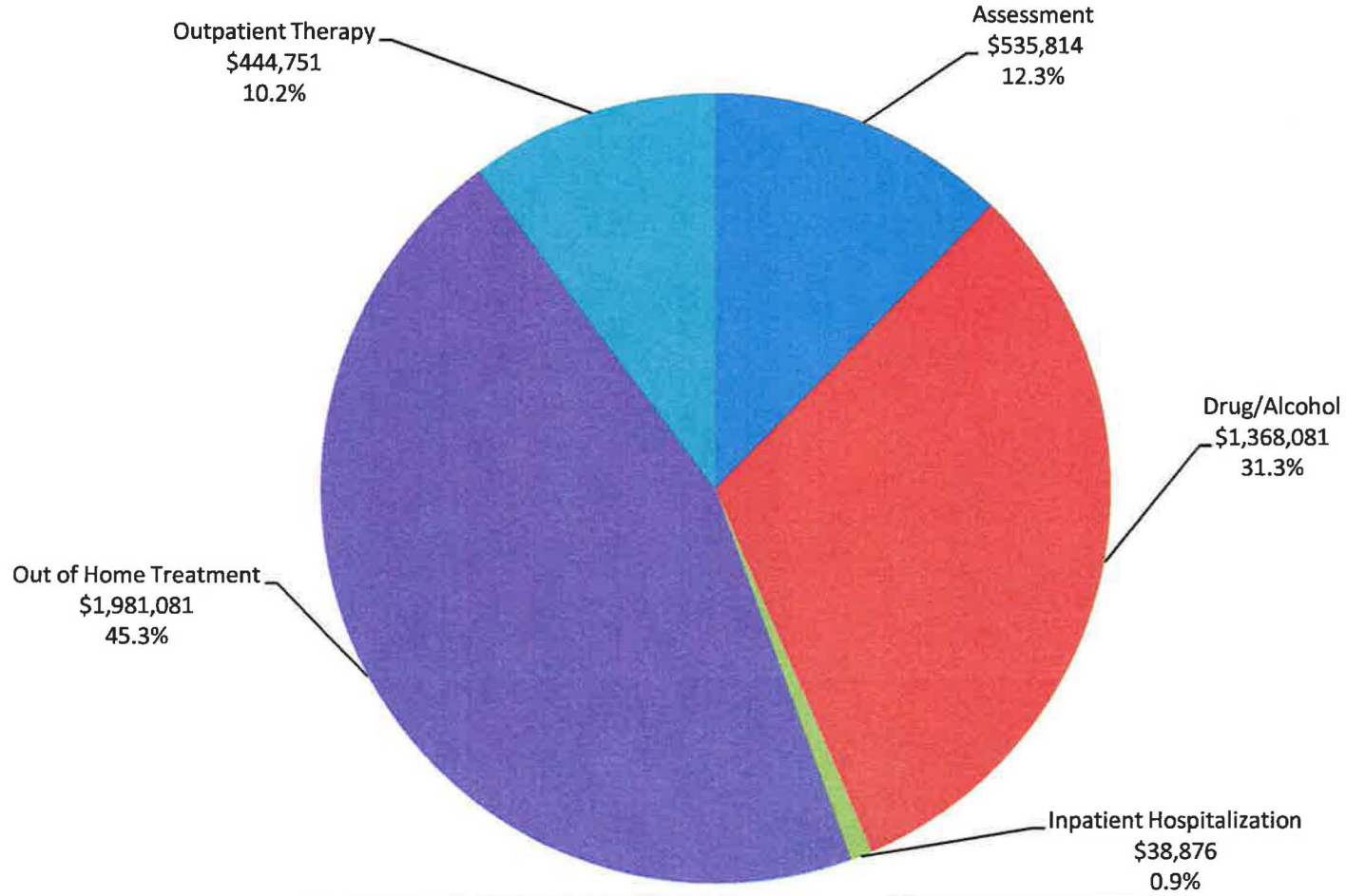


FY2010 Nebraska Medicaid/CHIP Expenditures for MH/SA Services
Children 20 and Younger
Total: \$108,009,496



11-36

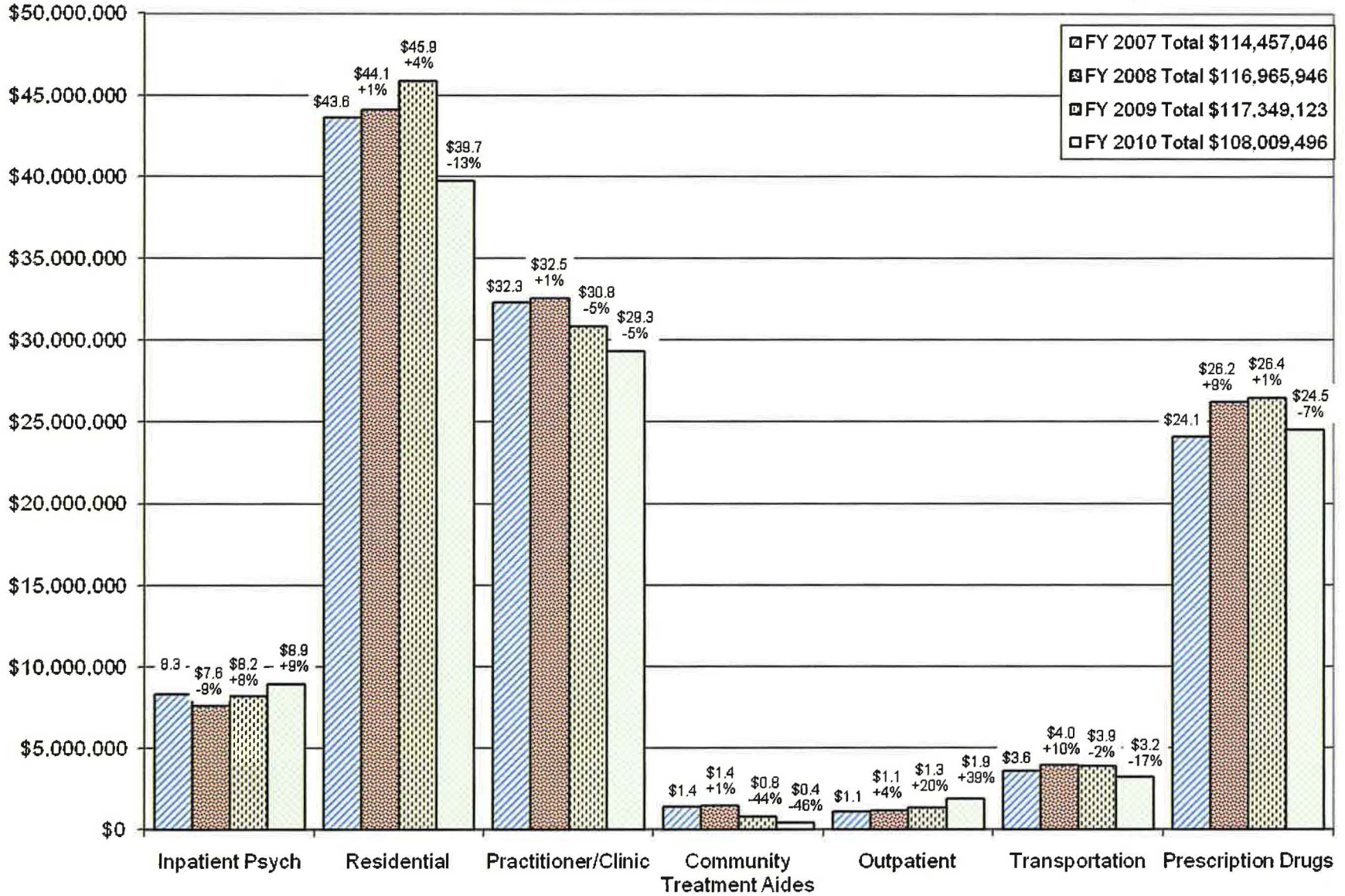
FY 2010 Nebraska Child Welfare Expenditures for MH/SA Services
Total Expenditure \$4, 368, 603



Source: N-FOCUS Paid Claims
Total unduplicated number of State Wards served by HHS during FY'10 - 9,972

FY2007 - FY2010 Nebraska Medicaid/CHIP Expenditures for MH/SA Services Children 20 and Younger

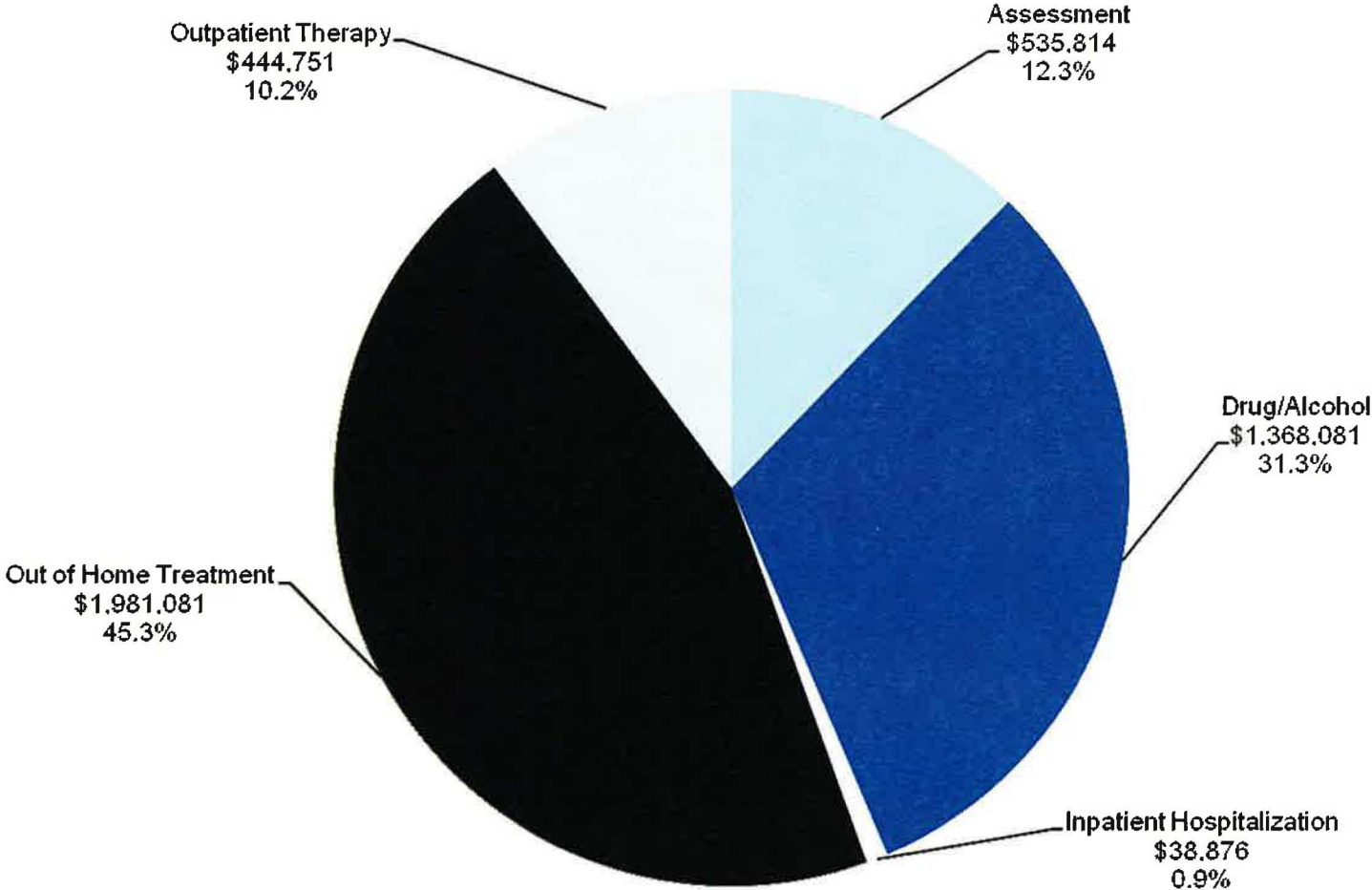
Numbers Above Bars Represent Expenditures in Millions of Dollars and Year-to-Year Percent Change



11-38

FY 2010 Nebraska Child Welfare Expenditures for MH/SA Services

Total Expenditure \$4, 368, 603



Source: N-FOCUS Paid Claims
Total unduplicated number of State Wards served by HHS during FY'10 - 9,972

11-39

**Nebraska Medicaid Behavioral Health Expenditures by Service Date
Payments through August 2011
Out Of State Psychiatric Residential Care (SubAcute) Recipients by Location of Provider
Children Ages 0 to 19**

Time Period (Service Month)	Out of State Payments excluding Border Providers		
	Net Payment**	Days	Unduplicated Recipients
Jun 2011	\$ 86,733	297	13
May 2011	\$ 135,179	463	16
Apr 2011	\$ 145,192	503	18
Mar 2011	\$ 150,341	520	19
Feb 2011	\$ 123,146	427	17
Jan 2011	\$ 144,536	502	17
Dec 2010	\$ 137,124	482	18
Nov 2010	\$ 121,022	443	15
Oct 2010	\$ 117,358	411	17
Sep 2010	\$ 96,377	365	14
Aug 2010	\$ 109,883	393	15
Jul 2010	\$ 136,273	480	17
Jun 2010	\$ 145,612	481	18
May 2010	\$ 152,790	533	18
Apr 2010	\$ 181,213	633	24
Mar 2010	\$ 213,709	749	27
Feb 2010	\$ 254,358	891	34
Jan 2010	\$ 334,204	1,207	42
Dec 2009	\$ 417,310	1,528	57
Nov 2009	\$ 473,276	1,769	61
Oct 2009	\$ 459,371	1,754	65
Sep 2009	\$ 555,621	2,164	70
Aug 2009	\$ 553,921	2,145	72
Jul 2009	\$ 555,204	2,155	74

Time Period (Service Month)	Out of State Payments to Border Providers*		
	Net Payment**	Days	Unduplicated Recipients
Jun 2011	\$ 32,774	151	8
May 2011	\$ 53,494	248	10
Apr 2011	\$ 63,362	300	10
Mar 2011	\$ 72,260	335	11
Feb 2011	\$ 66,004	299	11
Jan 2011	\$ 56,244	267	12
Dec 2010	\$ 63,632	295	10
Nov 2010	\$ 47,454	220	9
Oct 2010	\$ 38,179	177	7
Sep 2010	\$ 37,532	170	7
Aug 2010	\$ 46,160	214	8
Jul 2010	\$ 42,236	196	8
Jun 2010	\$ 40,350	188	8
May 2010	\$ 32,195	161	6
Apr 2010	\$ 21,892	102	4
Mar 2010	\$ 30,349	144	5
Feb 2010	\$ 33,295	156	6
Jan 2010	\$ 34,315	155	5
Dec 2009	\$ 47,727	222	8
Nov 2009	\$ 42,258	191	8
Oct 2009	\$ 40,134	184	8
Sep 2009	\$ 43,725	227	8
Aug 2009	\$ 51,954	240	10
Jul 2009	\$ 54,438	237	10

Time Period (Service Month)	Out of State Payments Total		
	Net Payment**	Days	Unduplicated Recipients
Jun 2011	\$ 119,507	448	21
May 2011	\$ 188,673	711	26
Apr 2011	\$ 208,554	803	28
Mar 2011	\$ 222,600	855	30
Feb 2011	\$ 189,150	726	28
Jan 2011	\$ 200,780	769	29
Dec 2010	\$ 200,755	777	28
Nov 2010	\$ 168,476	663	24
Oct 2010	\$ 155,537	588	24
Sep 2010	\$ 133,909	535	21
Aug 2010	\$ 156,043	607	23
Jul 2010	\$ 178,510	676	25
Jun 2010	\$ 185,963	669	26
May 2010	\$ 184,985	694	24
Apr 2010	\$ 203,105	735	28
Mar 2010	\$ 244,058	893	32
Feb 2010	\$ 287,653	1,047	40
Jan 2010	\$ 368,519	1,362	47
Dec 2009	\$ 465,037	1,750	65
Nov 2009	\$ 515,534	1,960	69
Oct 2009	\$ 499,505	1,938	73
Sep 2009	\$ 599,346	2,391	78
Aug 2009	\$ 605,875	2,385	82
Jul 2009	\$ 609,642	2,392	84

*Border providers include the following facilities located near Nebraska's border:
Sioux City , IA Jackson Recovery Centers
Sioux City , IA Boys & Girls Home
Torrington, WY St. Josephs Childrens Home

**Payments included through August 2011 - Monthly Data will change due to Claim Lag

SOURCE:
Advantage - Incurred View with Claims Paid as of August 2011

SUBSET:
Age in Years Claim 0-19, Provider Specialty = Psychiatry/MH/SA

Type of Service = 1- Inpatient Mental

Note: The 12-month rolling average of unduplicated recipients compared with prior year (including 2-month claim lag) indicates:

Recipients of Out of State Border Providers have increased	29.1%
Recipients of Out of State Non Border Providers have decreased	65.1%
Total Recipients of Out of State Providers have decreased	58.1%



**KVC Behavioral HealthCare Nebraska Inc. Human Resource
Data for LR 37**

Information Requested by Committee:

1. The number of caseworkers employed by KVC as of October 1. 176
2. The number of caseworkers with bachelor degrees is 142, the number of caseworkers with bachelor degrees in social work (BSW=5); the number of caseworkers with masters degrees (34), the number of caseworkers with masters of social work (MSW=4). Supervisors are excluded in this breakdown, only caseworkers who work directly with clients are included.
3. The average years of professional work experience is 12.97 years, the average years of professional work experience with child welfare 9.41 years.
4. The rate of turnover for caseworkers within KVC for July (8.81%), August (6.25%), and September (9.49%) 2011 (separately per month).
5. The average number of cases per caseworker for July (14.26 cases), August (14.03 cases), and September (14.18 cases) 2011 (separately per month).

Summary of Information:

Caseload size was calculated with the following family numbers as cases are assigned by family and not children. This includes total families by total Full Time Equivalent (FTEs) as Family Permanency Specialists. Caseloads in certain areas may be higher as a result of the turnover in that area. These caseload sizes are strictly based on numbers of families versus numbers of hired Family Permanency Specialists.

Date	Families	Children	FPS	Terms	Turnover	Avg. Case Load
7/31/2011	2267	4827	159	14	8.81%	14.26
8/31/2011	2244	4766	160	10	6.25%	14.03
9/30/2011	2241	4795	158	15	9.49%	14.18
10/27/2011	2263	4800	176	TBD	TBD	12.86



KVC Behavioral HealthCare Nebraska Inc. Human Resource
Data for LR 37

Summary of Previous Employer for Current KVC Family Permanency Specialists:
Below is a listing of the employers Family Permanency Specialists transitioned from to be employed at KVC. Most of these staff were displaced as a result of Visinet and Cedars surrendering their state contracts.

Previous Employer	Total	
Boys' Town	5	
Cedars	18	
DHHS - CFS	17	
FCRB	1	
Lutheran Family Services	1	
NFC	1	
Other	46	
Other - Apex	1	
Other - Child Care	9	
Other - Corrections	1	
Other - DSN	1	
Other - Education	15	
Other - Epworth	2	
Other - Heartland	2	
Other - LRC	5	
Other - Mental Health	3	
Other - Nova	3	
Other - Omni	2	
Other - Owens	7	
Other - PRTF	3	
Other - Region V	5	
Other - Region VI	2	
Other - SRS	1	108
Visinet	25	Total
Total	176	Other

The number of cases that children have not had a face to face, one on one visit with the caseworker in the past: 1 month, 2 months, 3 months, more than 3 months.

Data for this question was obtained through Infoview, the report section from N-FOCUS. The data below is from the report titled "Service Coordinator Monthly Required contacts". The reports are generated on a monthly basis and report only the previous month's contacts. For example, the June report is run the 2nd week of July and reports on all documentation entered for January. There is no report on Infoview that reports on the number of contacts that are not documented in consecutive months. The data from Infoview reports on whether the following contacts were documented on N-FOCUS:

- Child Contact
- Parent Contact
- Provider Contact (applicable only for those youth in an out of home placement)

The graph below shows all child contacts that should have documentation for both wards and non-wards. The graph shows the percentage of child contacts where the child contacts were documented (Met) or not documented (Not Met) by for ESA and SESA for June, July, August, and September.

KVC-ESA has consistently documented child ward contacts 80% of the time (range = 80%-83%) and non-ward child contacts around 30% of the time (range = 26%-43%).



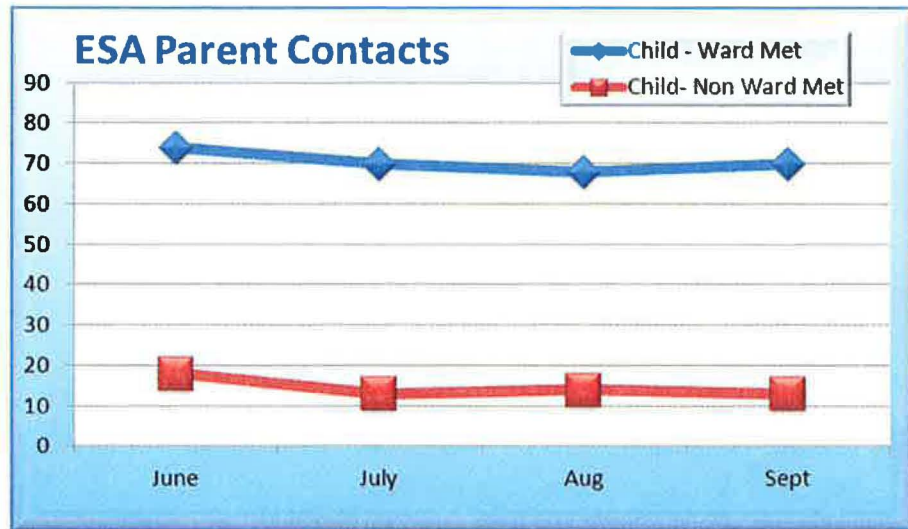
KVC-SESA has consistently documented child ward contacts around 50% of the time (range =48%-54%) and non-ward child contacts around 17% of the time (range = 16%-20%).



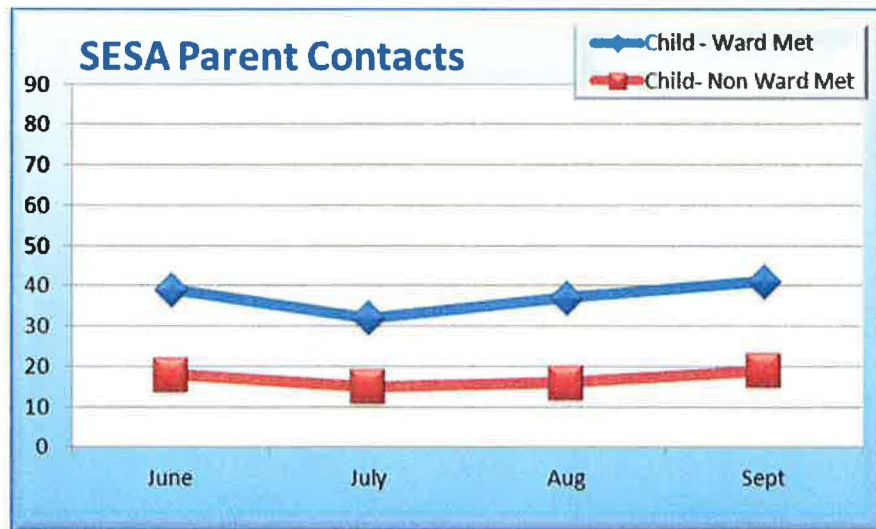
The number of cases that a parent has not had a face to face, one on one visit with the caseworker in the past: 1 month, 2 months, 3 months, more than 3 months.

Data was obtained using the same report for parent face to face contacts. The graphs below show the number of *parental* face to face contacts in each of the months beginning in June for both wards and non-wards.

In ESA, percentage of contacts range from 68- 74% for wards and 13-18% for non-wards.



In SESA, percentage of contacts range from 32-41% for wards and 15-19% for non-wards



The number of cases for which complete documentation in case files in NFOCUS is behind by 1 month, 2 month, 3 month, 4-6 months, more than 6 months?

There is no report in N-FOCUS that provides an overview of all required documentation that is behind in consecutive months. The reports that are available to us provide us with a monthly review of documentation that was entered by the 2nd week of the next month (e.g., the June monthly report provides us with information about documentation entered in May); the reports do not look at consecutive months where there is no documentation for a particular field and there is no report that shows documentation across date fields for consecutive months. There are a number of data entry fields that are expected to be completed.

In an attempt to help answer this question a manual audit of N-FOCUS documentation was conducted with a random selection of 567 cases in both service areas, 231 cases from ESA and 336 cases from SESA. The audit looked at whether documentation was entered and did not take time frames into consideration of when the data was entered. The following four (4) narrative sections were audited:

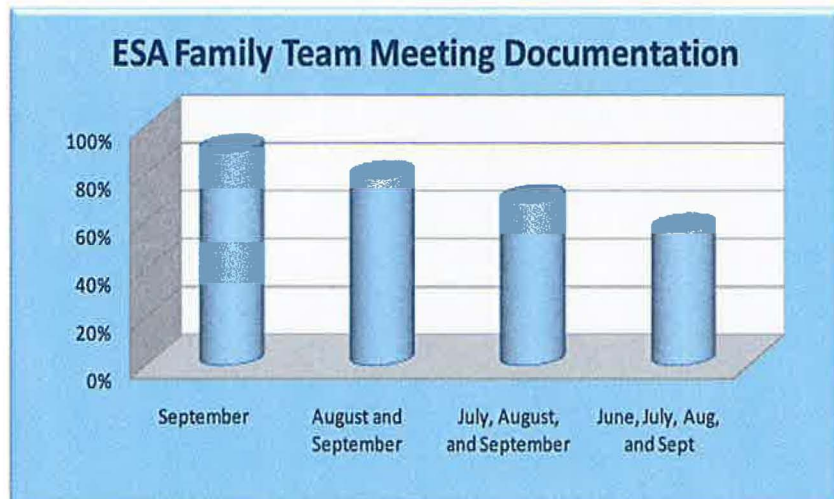
- Family Team Meeting
- Parent Contact
- Youth contact
- Provider Documentation

The data represents the percent of cases that had documentation for:

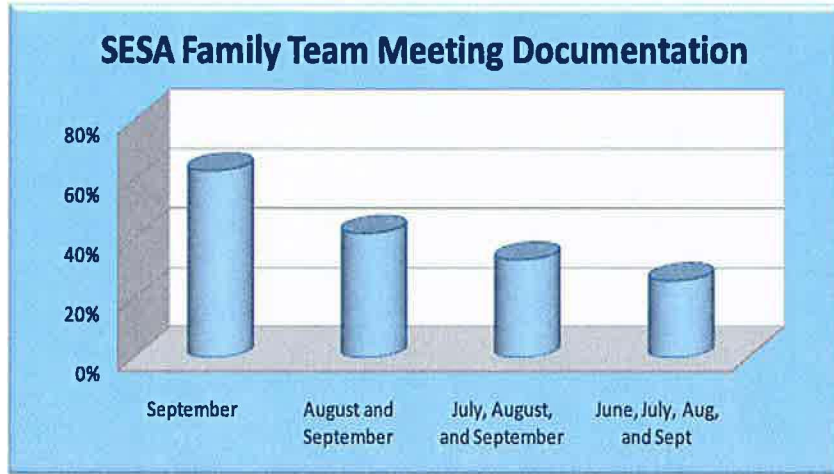
1. The month of September
2. Both September and August
3. July, August, and September
4. June, July, August, and September

Family Team Meetings

Cases were audited specifically for Family Team Meetings. The following graphs show the percentage of cases that had FTM documentation for September and for the consecutive months combined. For ESA 89% of the cases had documentation for September. 78% of cases had documentation for both the months of August and Sept; 68% for the months of July, August, and Sept; and 56% for the months of June, July, Aug, and Sep.

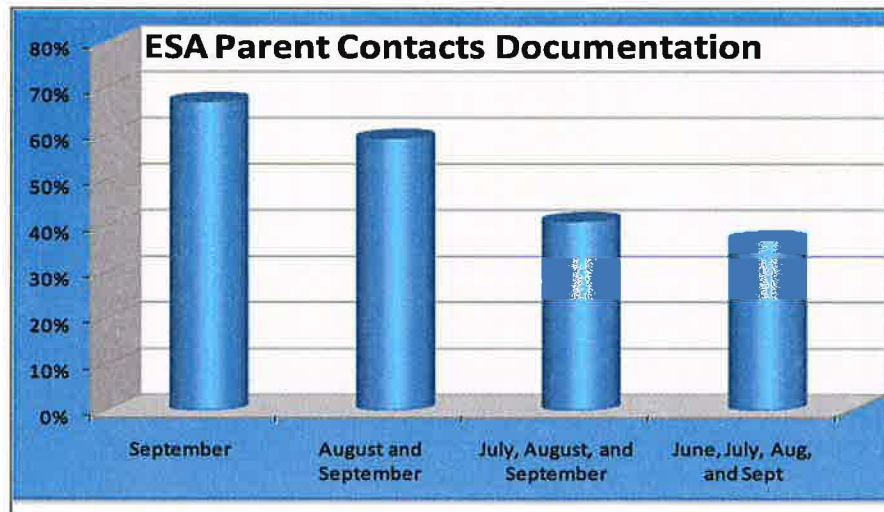


For SESA, 63% of the cases had documentation for September. 44% of cases had documentation for both the months of August and Sept; 33% for the months of July, August, and Sept; and 26% for the months of June, July, Aug, and Sep.

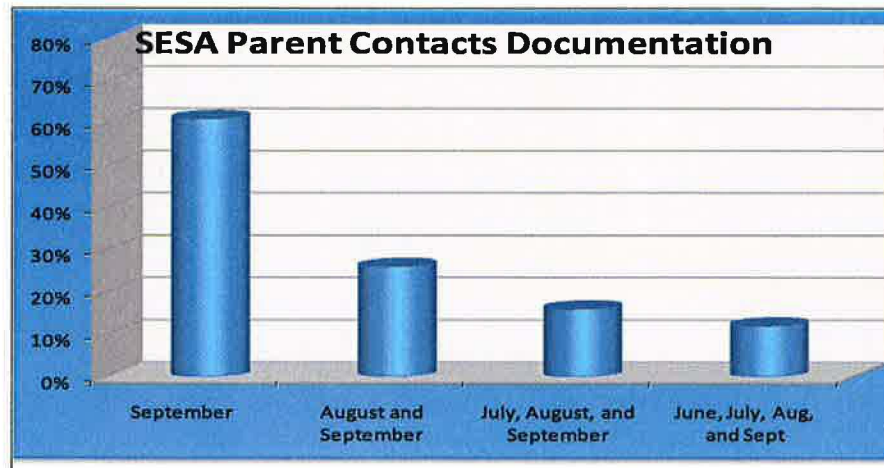


Parent Contacts Documentation

For ESA, September data shows that documentation was present for 67% of the cases. Documentation for consecutive months decreases as the graph shows. Percentages are based on the number of cases each month that require parental contacts. 59% of cases had documentation for both the months of August and Sept; 41% for the months of July, August, and Sept; and 37% for the months of June, July, Aug, and Sep.

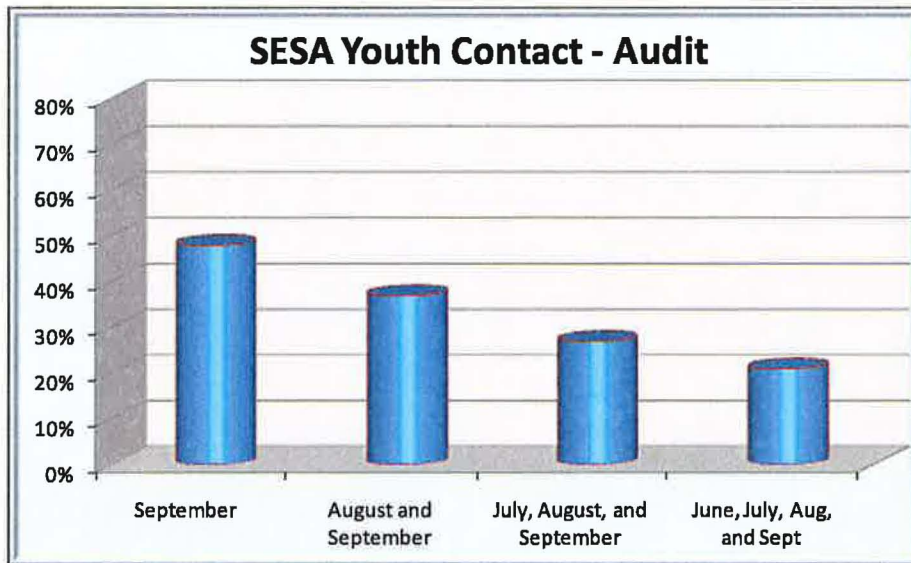
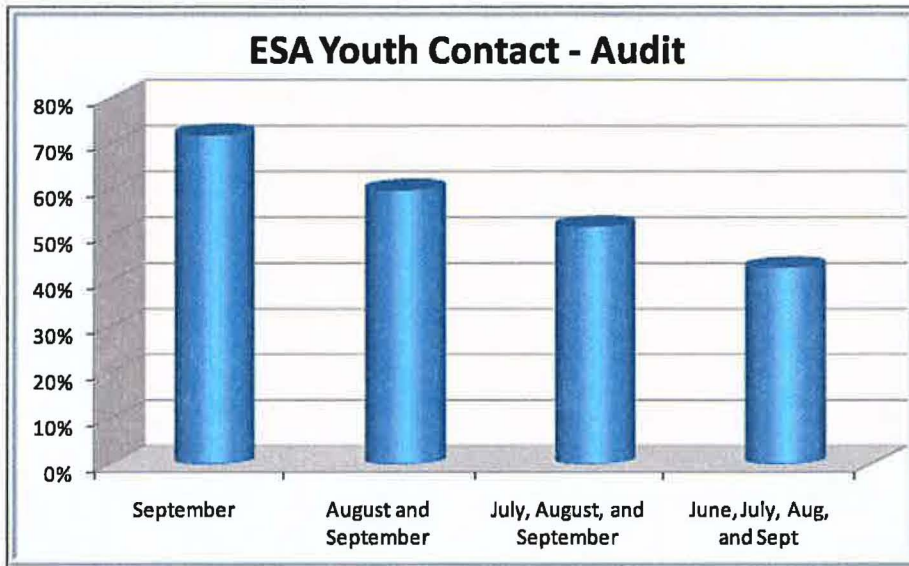


A similar pattern is seen for SESA Parent Contact documentation. 61% of the audited cases had documentation present for September; 26% of cases had documentation for both the months of August and Sept; 16% for the months of July, August, and Sept; and 12% for the months of June, July, Aug, and Sep.



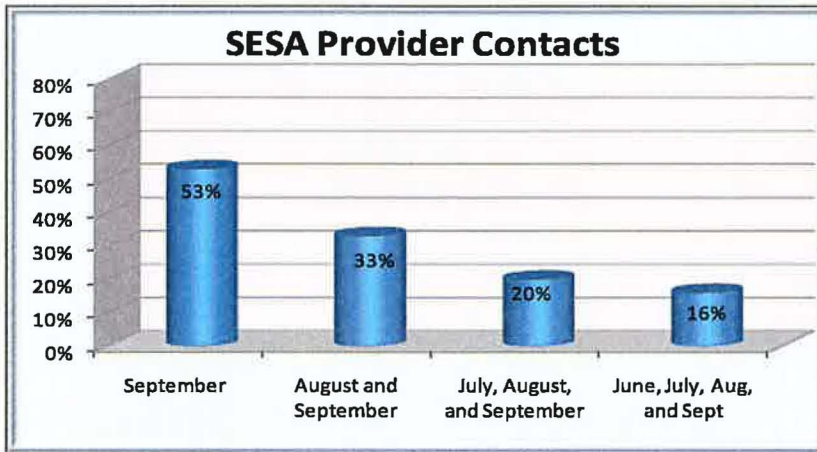
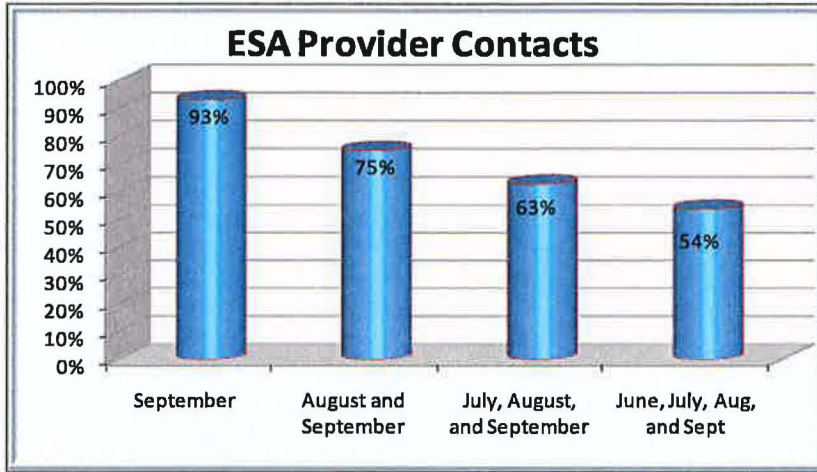
Youth Contacts Documentation

Using the same data from the randomly selected cases for a manual audit the same patterns were observed for youth contact. 72% in ESA and 48% in SESA of had documentation for September, with the percentages decreasing when looking at consecutive months of data.

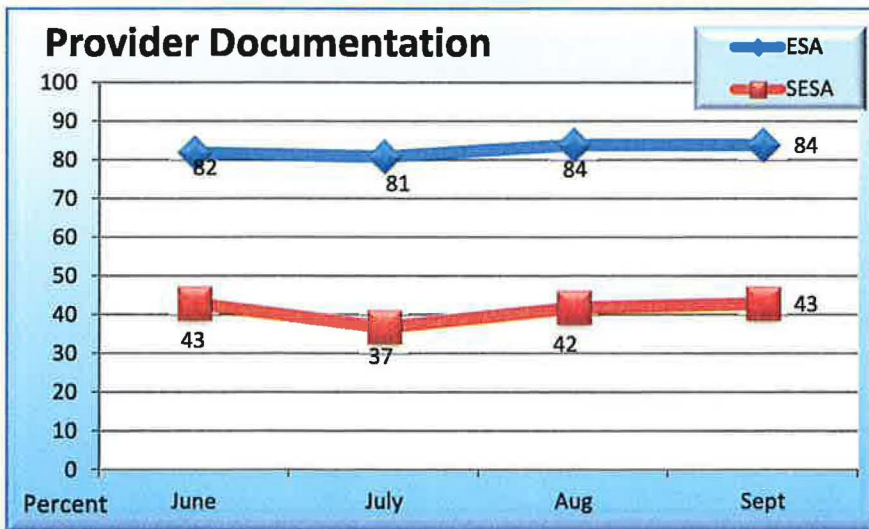


Provider Contacts Documentation

The following graphs are from the manual audit.



The following data is from the Infoview Report titled “Service Coordinator Required Contacts.”

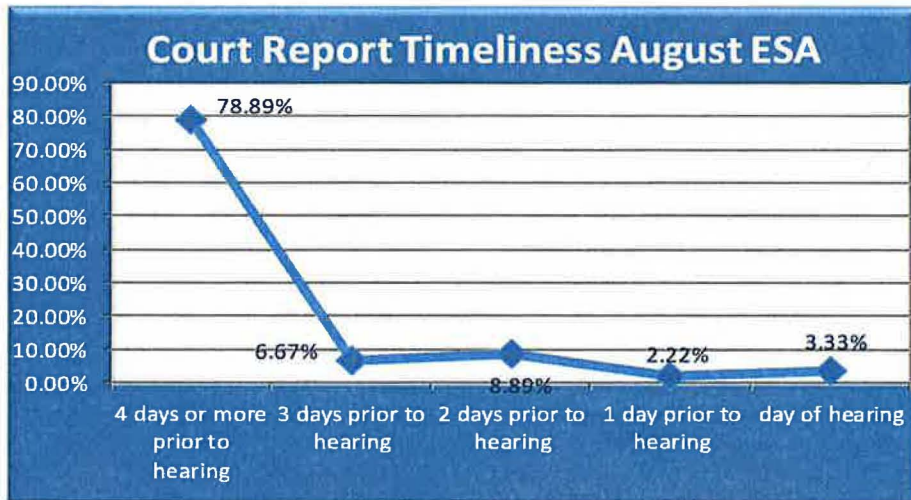


The percentage of Case Plan and Court Reports in August that were to all parties more than 3 days prior to court, 3 days prior to court, 2 days prior to court, 1 days prior to court, provided the day of the court hearing.

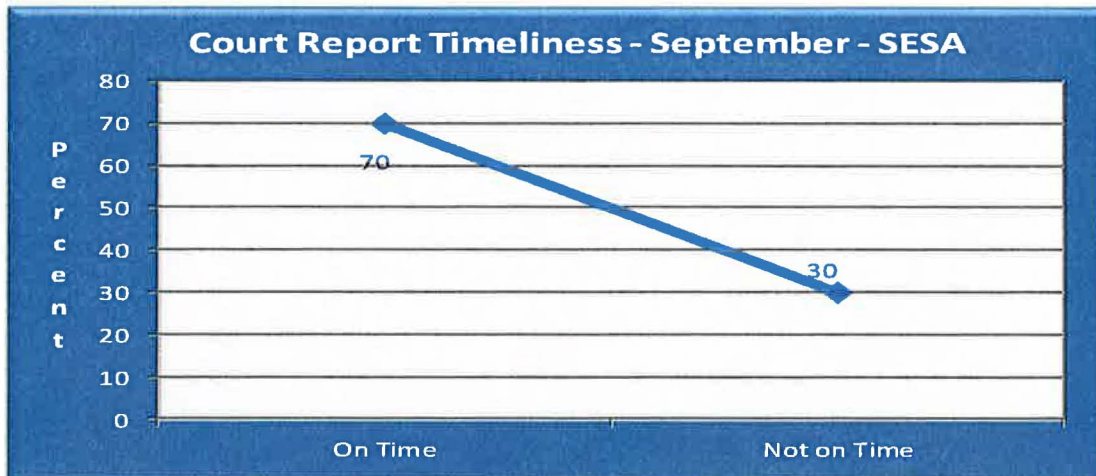
There is no tracking mechanism for court report timeliness in N-FOCUS. DHHS has started a tracking mechanism but this did not begin until September. KVC started a tracking this data in ESA in the Spring of 2011 and the August data is reported below. This tracking mechanism was started in SESA in August but we do not have complete data to report.

ESA& SESA Court Report Timeliness

The following graph (n=90) shows the timeliness of court reports based on the court report routing forms that were received. We do not have information on court report timeliness if the routing slip was not received and there is no report in N-FOCUS that allows us to determine which hearings require a court report.



The graph below shows data we received from DHHS regarding court report timeliness. 70% of the tracked court reports (n=276) were provided to the court on time. This was not tracked by number of days the report was received prior to the hearing.





- **The number of caseworkers employed by your agency as of October 1:**

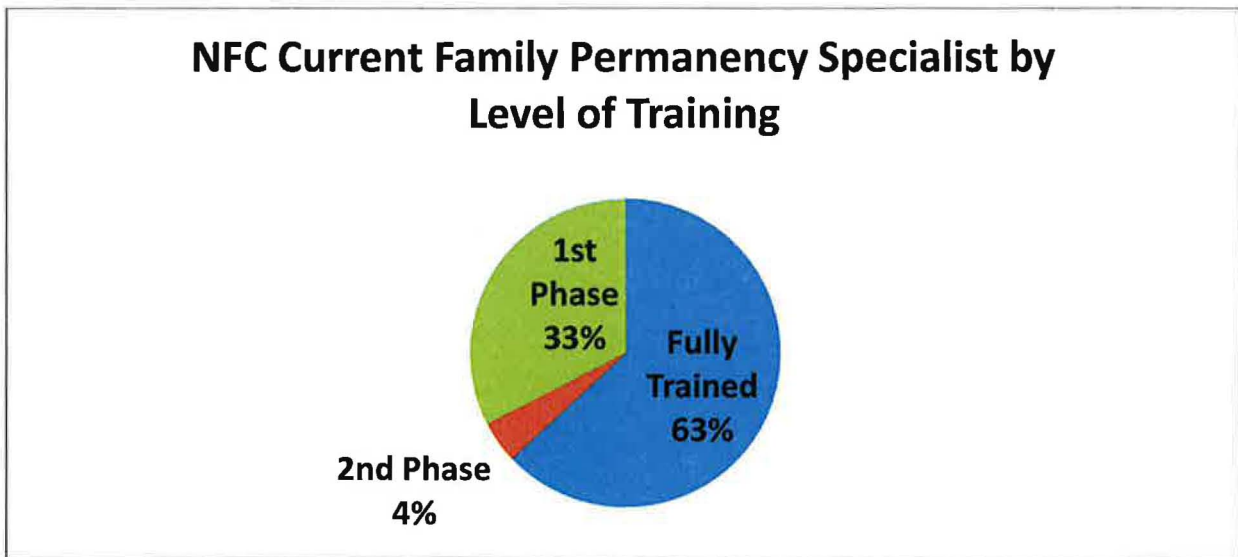
Nebraska Families Collaborative (NFC) currently has 92 total Family Permanency Specialists (FPS) employed. Staff receive extensive training and mentoring prior to taking on a caseload and working directly with families. The training curriculum is comprehensive and covers what former DHHS worker training included. In addition the NFC conducts its own ongoing mandatory trainings for Family Permanency Specialists. The graph below is a breakdown of the following and data is derived from *NFC Human Resources Records Management System*.

Total training is approximately 10 weeks of classroom instructional training and field training.

Phase 1 of training: The NFC currently has thirty (30) staff attending this training.

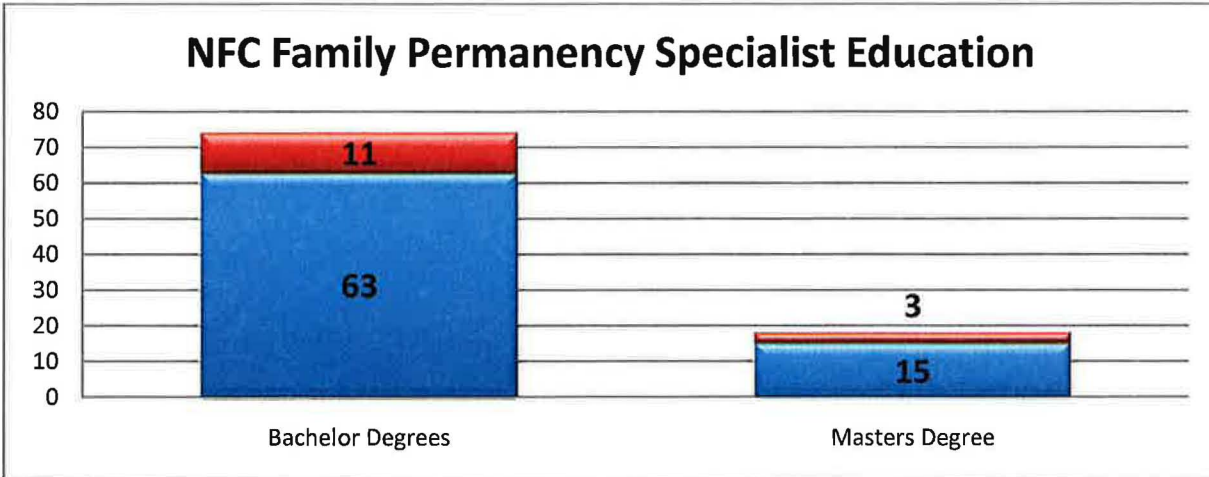
Phase 2 of training: Upon completion of Classroom instructional training staff receive mentoring and shadow experience directly in the field with a seasoned staff and may be assigned a modified smaller caseload of no more than four families. The NFC currently has four (4) staff attending this training.

Fully Trained: Staff complete both Phases 1 and 2 and are prepared to assume a full caseload. The NFC has fifty eight (58) staff that fully trained.



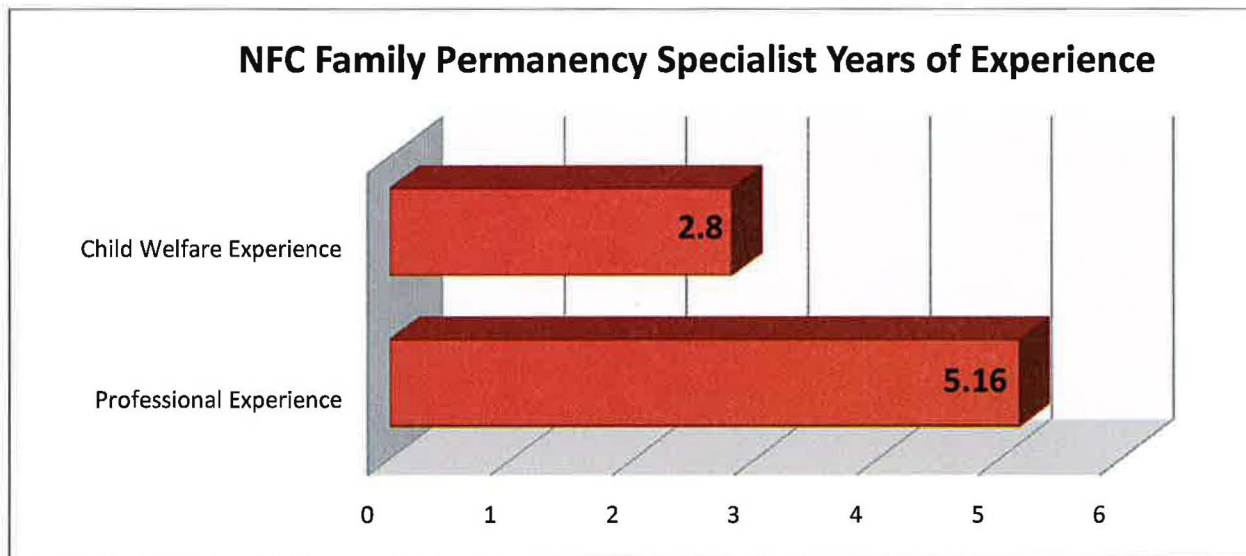
- **The number of caseworkers with bachelor degrees, the number of caseworkers with bachelor degrees in social work; the number of caseworkers with masters degrees, the number of caseworkers with masters of social work. (please do not include supervisors in this breakdown, only caseworkers who work directly with clients.):**

The NFC employs seventy four (74) Family Permanency Specialists with at least a Bachelor degree; eleven (11) of these staff specifically with a degree in social work. The NFC employs eighteen (18) Family Permanency Specialist with a Master's degree; three (3) specifically in social work. This data does not include the supervisor breakdown. Data is derived from *NFC Human Resources Records Management System*.



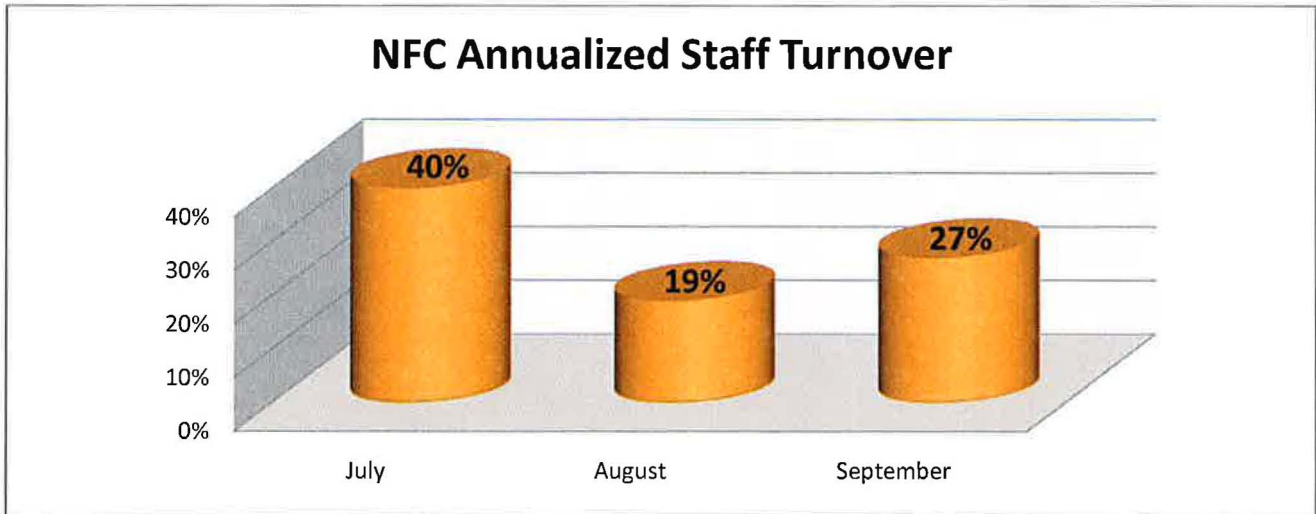
- **The average years of professional work experience, the average years of professional work experience with child welfare.**

The NFC recruit highly qualified staff with a strong desire to work directly with children and families and with previous employment and educational experience specific to child welfare and similar experience to the main roles and responsibilities required of Family Permanency Specialist. Data is derived from *NFC Human Resources Records Management System*.



- **The rate of turnover for caseworkers in your agency for July, August, and September 2011 (separately per month):**

The NFC annualizes the rate of turnover by taking the actual turnover number of staff in a month and projecting out to an annualized rate by multiplying the actual turnover numbers by twelve. Below are the percentages for NFC’s annualized turnover. Data is derived from NFC Human Resources Records Management System. There has been no turnover for all supervisors of the NFC.



- **The average number of cases per caseworker for July, August, and Sept. 2011. (separately per month):**

The NFC has conducted extensive research on best practices in regards to standards for caseloads both directly to families as well as supervision to staff. The Council on Accreditation, Child Welfare League of America and the National School of Social Work caseload recommendations also weighed in heavily in the determination of caseload size. The NFC caseload size is less than the recommended best practice caseload size of each of these entities. The NFC caseload allows Family Permanency Specialist to effectively plan, provide and monitor appropriateness of services delivery and to ensure professional accountability. Data is derived from the *NFC Penelope Management Information System*.

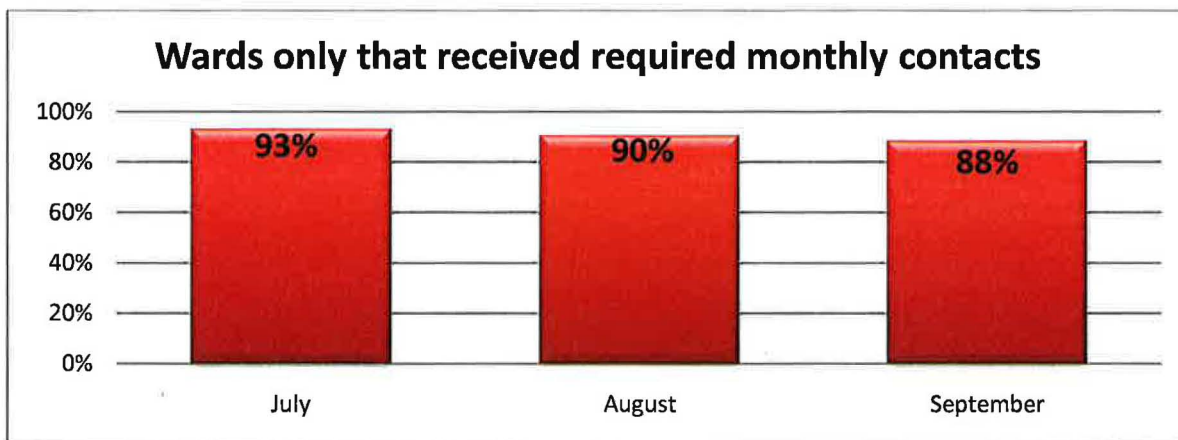
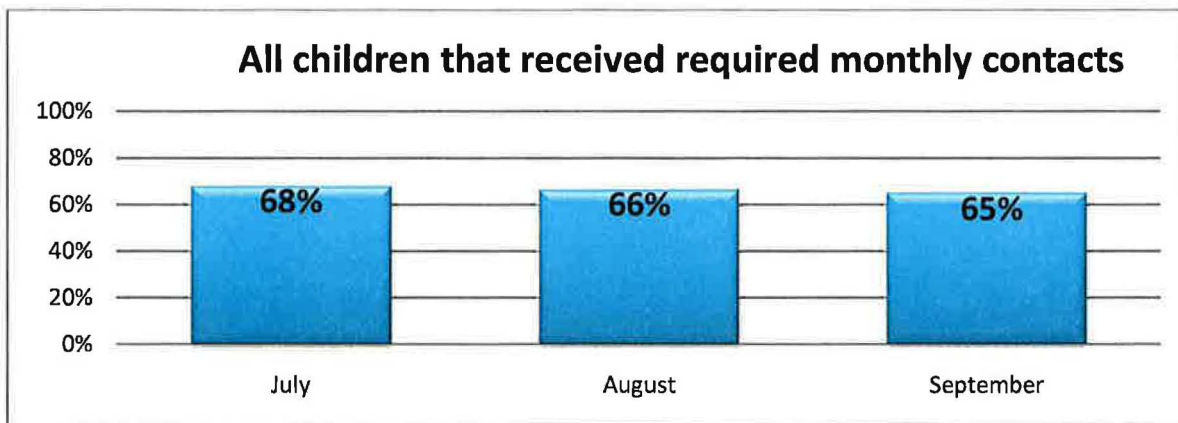


- **The number of cases for which complete documentation in case files in NFOCUS is behind by 1 month, 2 month, 3 month, 4-6 months, more than 6 months?**

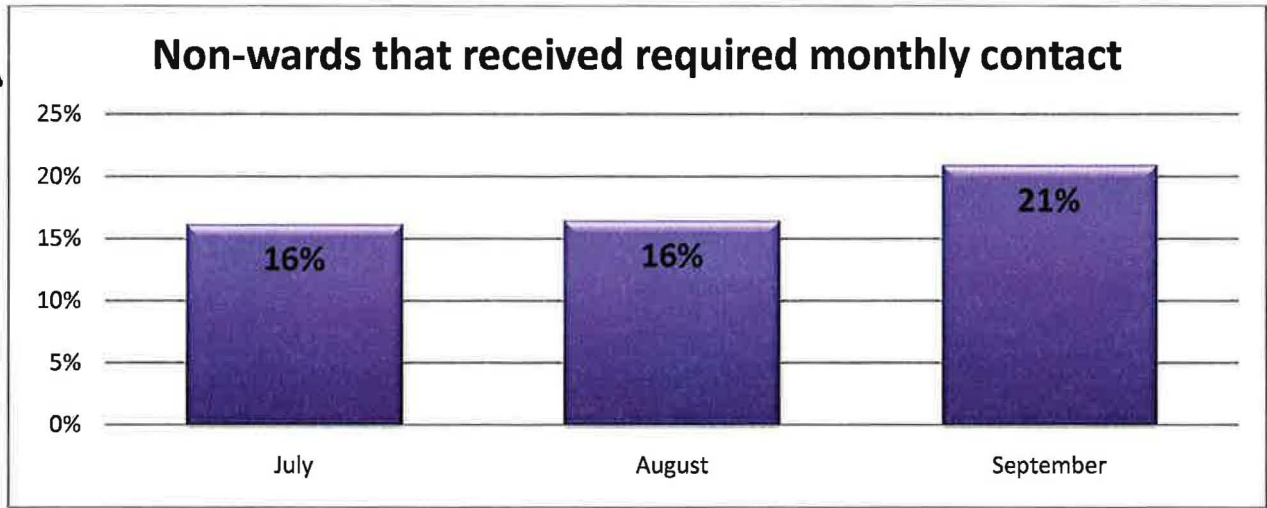
NFOCUS does not capture the requested data; therefore, this information is limited. However, per NFC policy, all Family Permanency Specialist are required to complete documentation within twenty four (24) hours. This requirement is tracked both at the supervisory level as well as incorporated in the NFC Performance and Quality Improvement Process.

- **The number of cases that children have not had a face-to-face, one on one visit with the caseworker in the past: 1 month, 2 months, 3 months, more than 3 months.**

NFOCUS does not capture the requested data by past month; therefore, the information provided is not outlined in the format requested. There is a report that captures whether or not the visit was completed in a given month. The following data is derived from the *Service Coordinator Monthly Required Contact report in Info view through N-FOCUS*. Below the graphs please find a detailed chart of **all children** and **ward only** data along with their parent visits that might better provide you with the information requested.



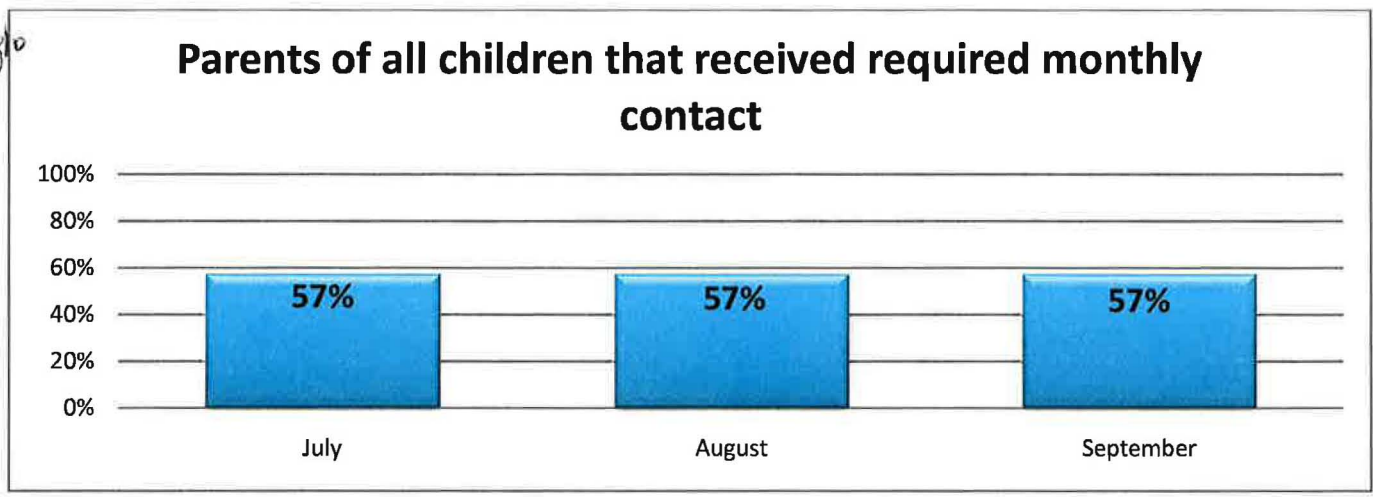
*
0-25%



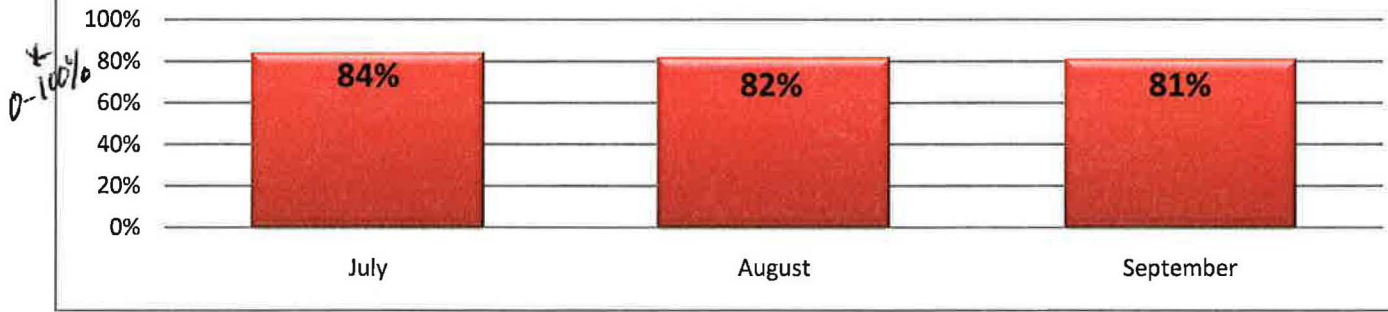
- The number of cases that a parent has not had a face-to-face, one on one visit with the caseworker in the past: 1 month, 2 months, 3 months, more than 3 months.

NFOCUS does not capture the requested data; therefore, this information is limited. There is a report that captures whether or not the visit was completed in a given month. The following data is derived from the *Service Coordinator Monthly Required Contact report in Info view through N-FOCUS*.

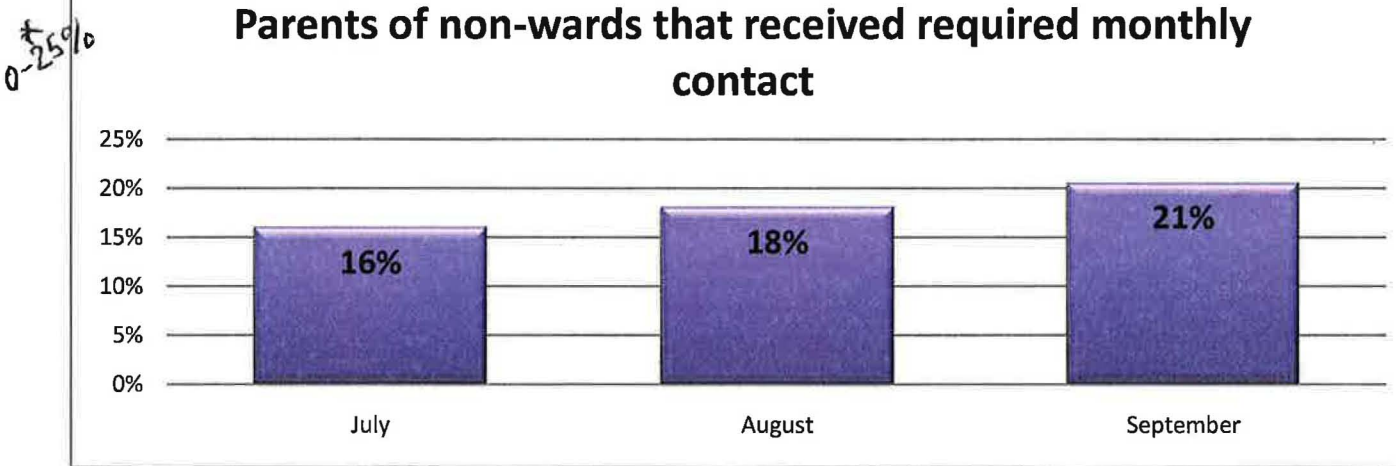
*
D-100%



Parents of wards only that received required monthly contact



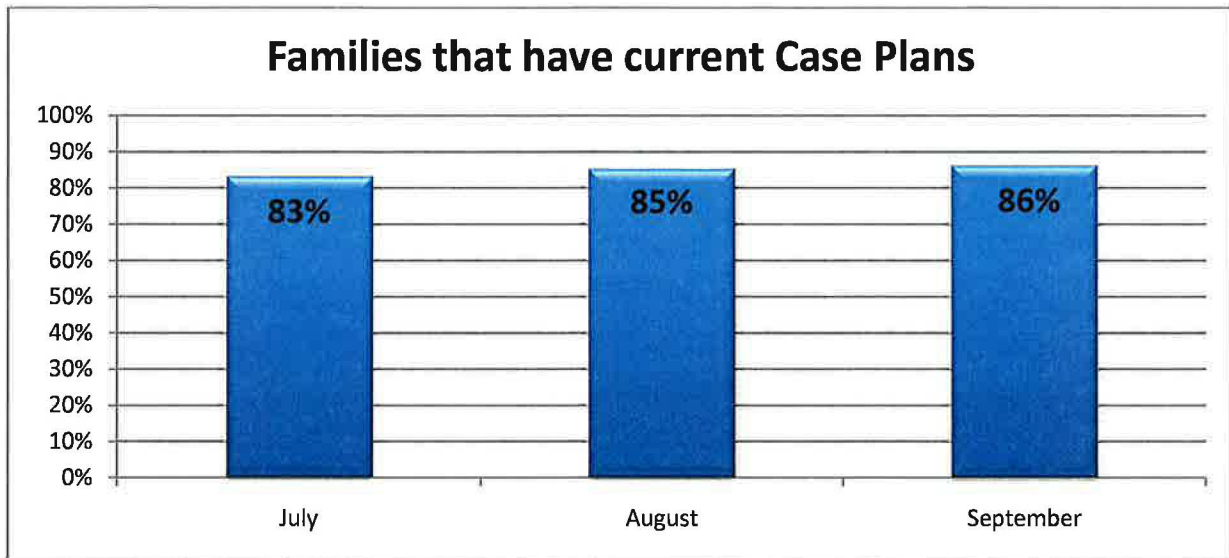
Parents of non-wards that received required monthly contact



ALL Children	Met	Met	Not Met	Not Met		WARDS ONLY	Met	Met	Not Met	Not Met
July						July				
CHILD	783	67.56%	376	32.44%		CHILD	721	93.15%	53	6.85%
PARENT	528	57.08%	397	42.92%		PARENT	470	83.78%	91	16.22%
August						August				
CHILD	754	66.26%	384	33.74%		CHILD	693	90.35%	74	9.65%
PARENT	509	57.13%	382	42.87%		PARENT	447	81.57%	101	18.43%
September						September				
CHILD	781	65.08%	419	34.92%		CHILD	695	88.20%	93	11.80%
PARENT	550	57.17%	412	42.83%		PARENT	471	81.49%	107	18.51%

- The percentage of Case Plan and Court Reports in August that were to all parties more than 3 days prior to court, 3 days prior to court, 2 days prior to court, 1 days prior to court, provided the day of the court hearing.

NFOCUS does not capture the requested data; therefore, this information is limited. There is a report that captures whether or not the visit was completed in a given month. The following data is derived from the *Permanency Performance report in Info view through N-FOCUS*.



Below is the data from our own internal review and tracking of Court Report/Case Plans for Court hearings occurring in August and September. Our internal tracking monitors that FPS staff are: 1) turning in a draft to the HHSS – Child and Family Oversight Monitor (CFOM) 11 business days prior to court for review and 2) having a final court report/case plan provided to all legal parties, Judge, and HHSS Court – CFOM 5 business days before the court hearing.

	11 th Day	5 th Day
August	82%	86%
September	85%	93%



casey family programs

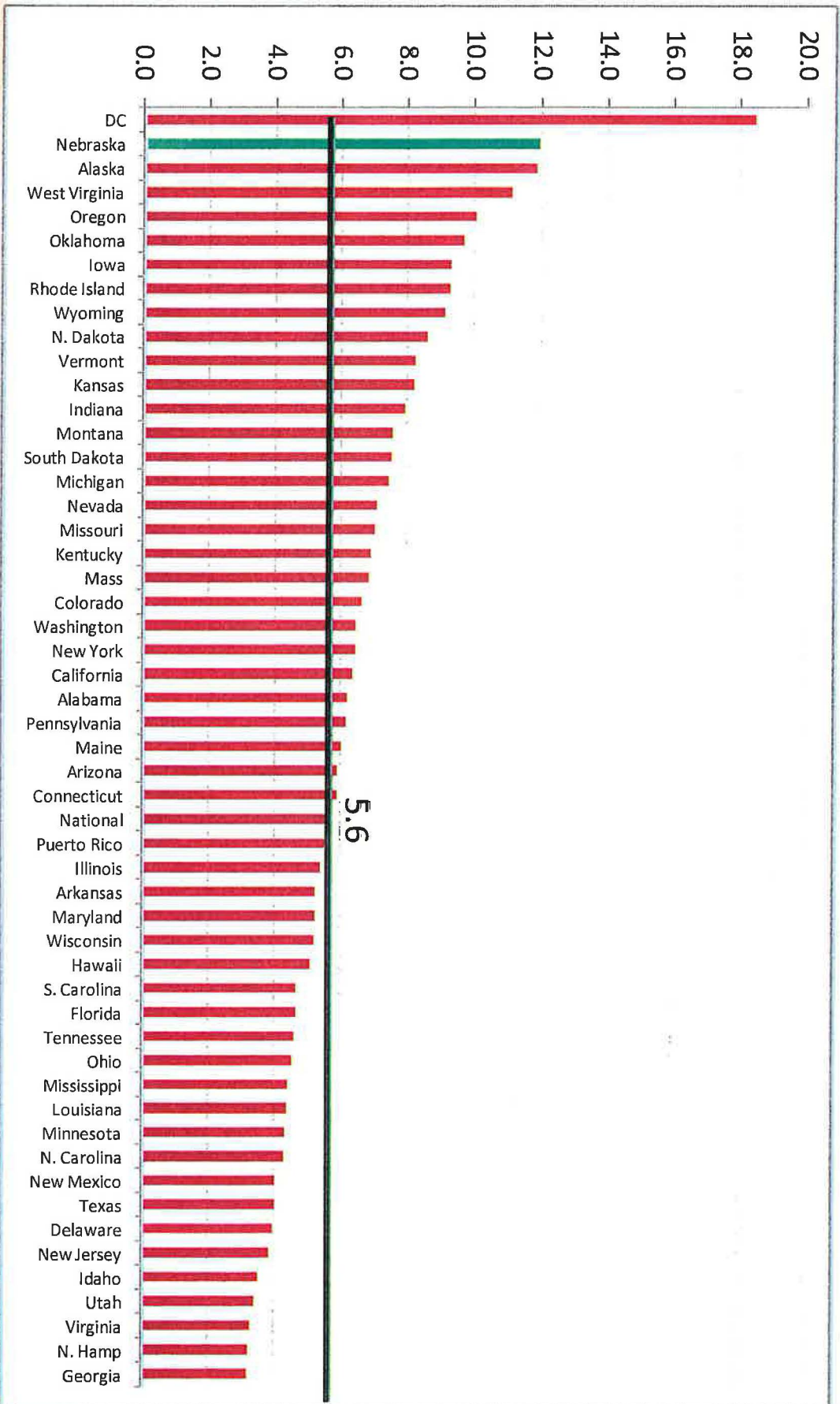
fostering families. fostering change®

Selected State and National Child Welfare Statistics

Prepared by Casey Data Advocacy. For more information contact
Dr. Susan Smith at Ssmith@casey.org)



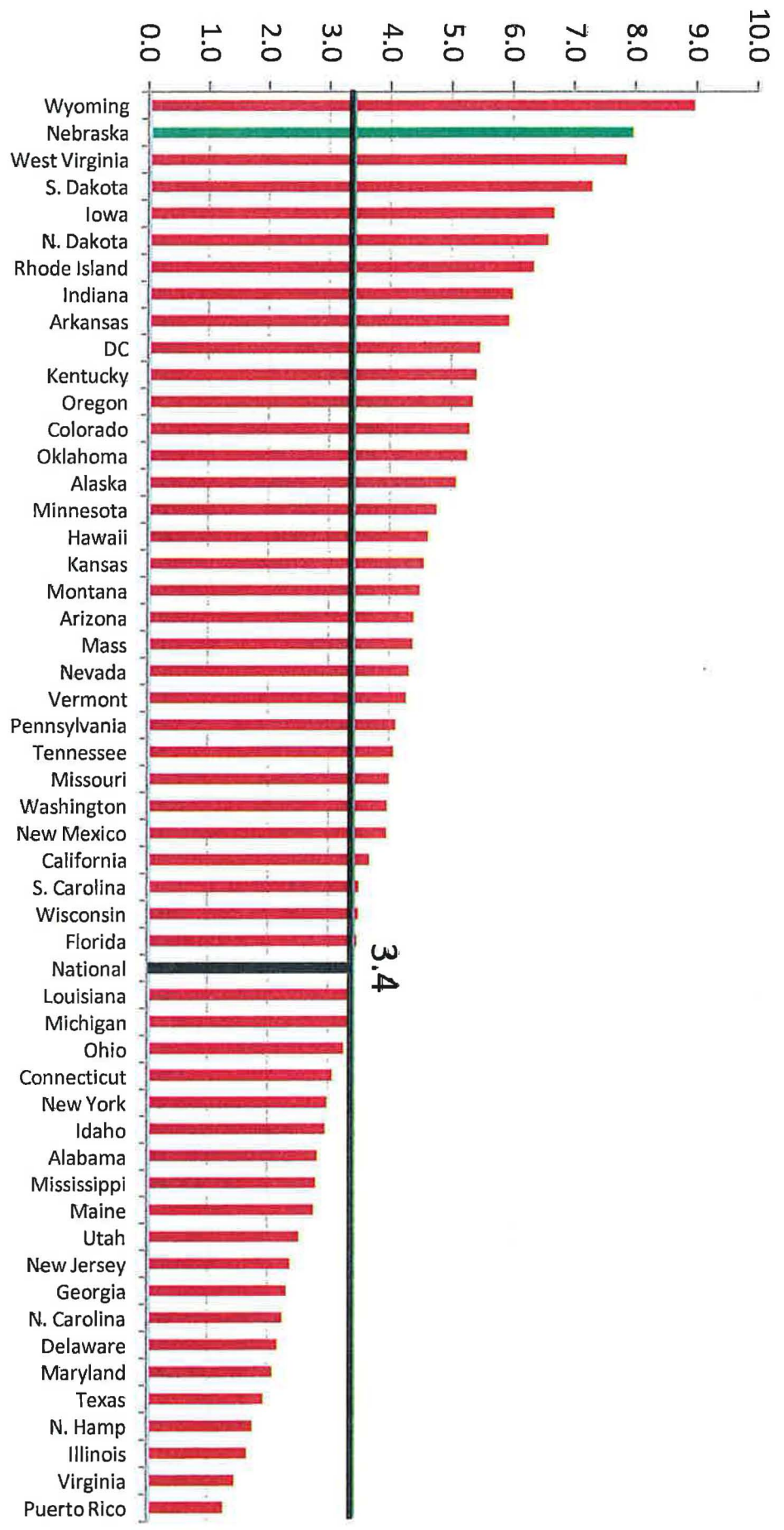
Rate of Children In Care per 1000 in Population: FY09



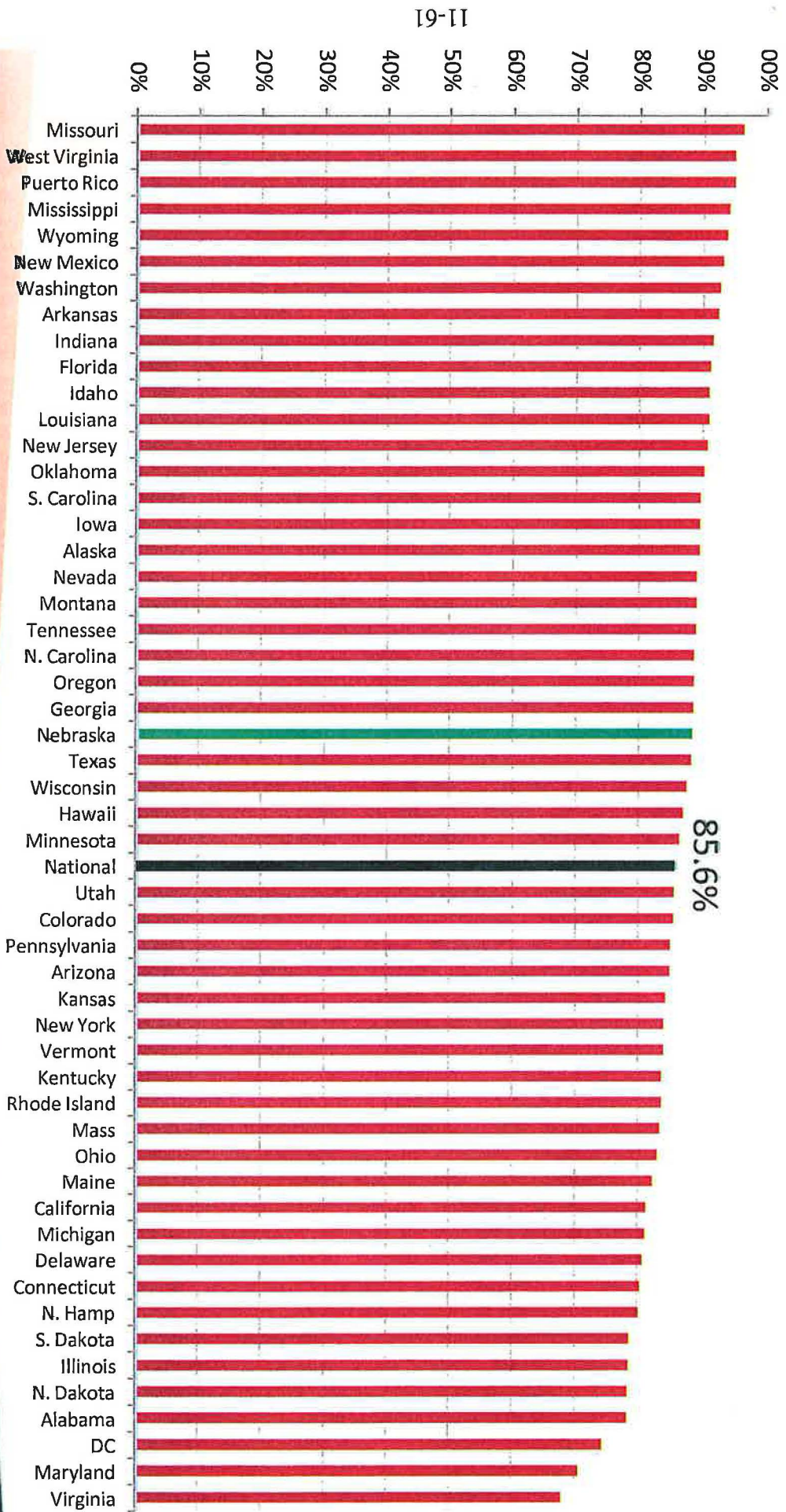
Source: data throughout slides is from NCANDS and AFCARS, unless otherwise noted

Rate of Entry, FY09

(per 1,000 children in population)



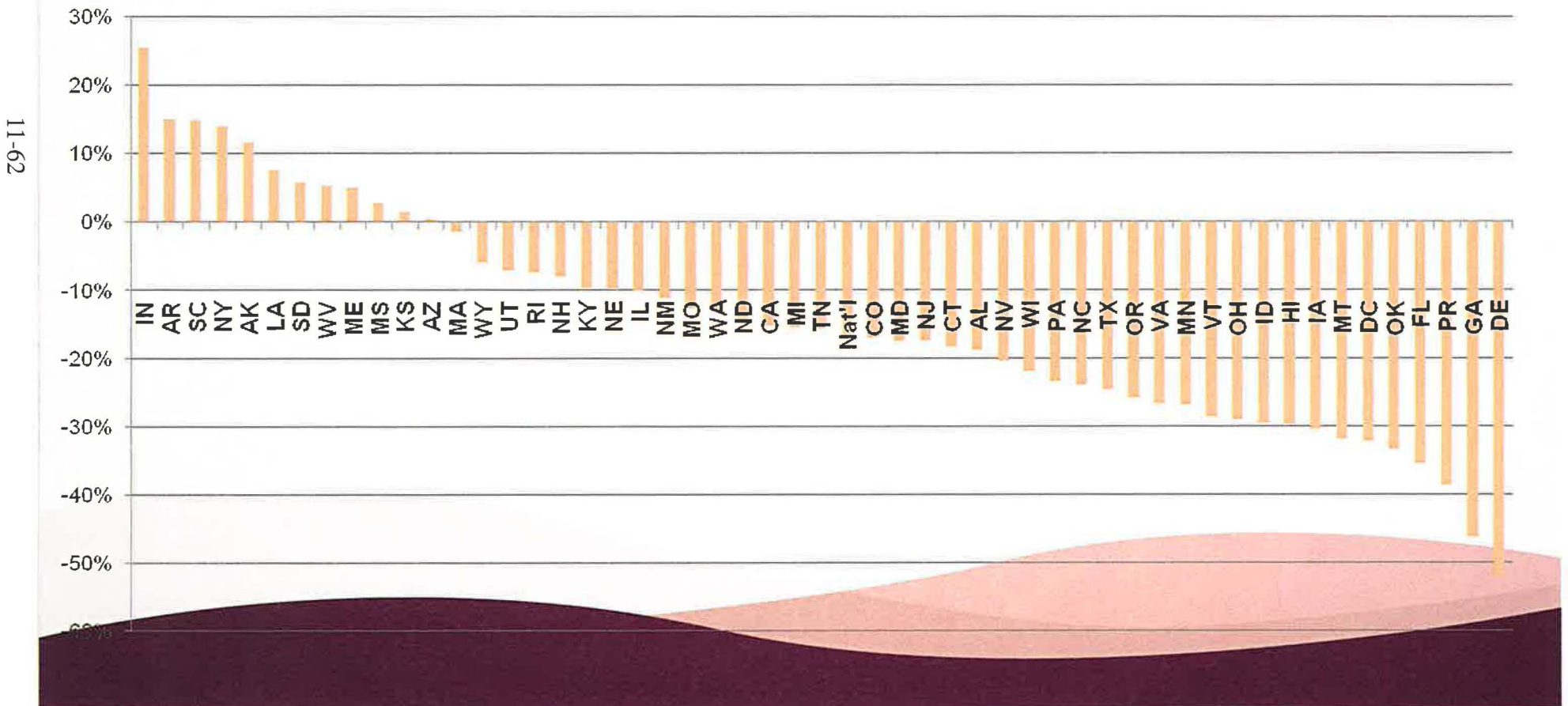
Exits to Permanency by State, FY09



Nationally, entries are declining

- Most states reduced # of entries (40 of 52)
 - Overall reduction: 51,755 entries (16.8% since FY05)

Overall % Reduction in Entries by State: FY05 to FY09



11-62